# Friday September 20 Lecture Notes

#### 1 Functors

**Definition** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A *functor* (or covariant) F is a function that assigns each  $C \in \text{Obj}(\mathcal{C})$  an object  $F(C) \in \text{Obj}(\mathcal{D})$  and to each  $f : A \to B$  in  $\mathcal{C}$ , a morphism  $F(f) : F(A) \to F(B)$  in  $\mathcal{D}$ , satisfying:

For all  $A \in \text{Obj}(\mathcal{C})$ ,  $F(1_A) = 1_{FA}$ . Whenever fg is defined, F(fg) = F(f)F(g).

e.g. If  $\mathcal{C}$  is a category, then there exists an identity functor  $1_{\mathcal{C}}$  s.t.  $1_{\mathcal{C}}(C) = C$  for  $C \in \text{Obj}(\mathcal{C})$  and for every morphism f of  $\mathcal{C}$ ,  $1_{\mathcal{C}}(f) = f$ .

For any category from universal algebra we have "forgetful" functors.

e.g. Take  $F : \operatorname{Grp} \to \operatorname{Cat}$  of *monoids*  $(\cdot, 1)$ . Then F(G) is a group viewed as a monoid and F(f) is a group homomorphism f viewed as a monoid homomorphism.

e.g. If  ${\mathcal C}$  is any universal algebra category, then

 $F: \mathcal{C} \to \text{Sets}$ 

F(C) is the underlying sets of C

F(f) is a morphism

e.g. Let  $\mathcal{C}$  be a category. Take  $A \in \operatorname{Obj}(C)$ . Then if we define a covariant Hom functor,  $\operatorname{Hom}(A, \_) : \mathcal{C} \to \operatorname{Sets}$ , defined by  $\operatorname{Hom}(A, \_)(B) = \operatorname{Hom}(A, B)$  for all  $B \in \operatorname{Obj}(\mathcal{C})$  and  $f : B \to C$ , then  $\operatorname{Hom}(A, \_)(f) : \operatorname{Hom}(A, B) \to \operatorname{Hom}(A, C)$  with  $g \mapsto fg$  (we denote  $\operatorname{Hom}(A, \_)$  by  $f_*$ ). Let us check if  $f_*$  is a functor:

Take  $B \in \text{Obj}(\mathcal{C})$ . Then  $\text{Hom}(A, \_)(1_B) = (1_B)_* : \text{Hom}(A, B) \to \text{Hom}(A, B)$ and for  $g \in \text{Hom}(A, B), (1_B)_*(g) = 1_B g = g$ . So  $(1_B)_* = 1_{\text{Hom}(A, B)}$ .

Take  $B \xrightarrow{f} C \xrightarrow{g} D$ . Certainly,  $\operatorname{Hom}(A, B) \xrightarrow{f_*} \operatorname{Hom}(A, C) \xrightarrow{g_*} \operatorname{Hom}(A, D)$ . Now take  $h \in \operatorname{Hom}(A, B)$ . Then  $f_*(g_*(h)) = fgh = (fg)h = (fg)_*h = \operatorname{Hom}(A, _)(fg)$ .

A few observations:

**Proposition** Functors preserve isomorphisms at the level of morphisms, i.e., if  $T : \mathcal{C} \to \mathcal{D}$  and  $f : A \to B$  is an isomorphism in  $\mathcal{C}$ , then T(f) is an isomorphism in  $\mathcal{D}$ .

**Proof** Functors preserve compositions and identities. Say  $g : B \to A$  with  $fg = 1_B$ , and  $fg = 1_B$ . Then T(fg) = T(f)T(g), but  $T(fg) = T(1_B) = 1_{T(B)}$ . A similar argument works for  $gf = 1_A$ , and we are done.

**Definition** Two categories C and D are isomorphic (as categories) if there are functors  $F : C \to D$  and  $G : D \to C$  with  $F(G) = 1_D$  and  $G(F) = 1_C$  (where the composition of functors is just a composition on objects and a composition on maps).

e.g. Given a ring R, let  $R^{op}$  denote R but with multiplication defined backwards:  $r_1 \cdot_{R^{op}} r_2 = r_2 \cdot_R r_1$  for all  $r_1, r_2 \in R$  or  $r_1, r_2 \in R^{op}$  (because they have the same underlying set). Then R-mod is isomorphic as a category to mod- $R^{op}$ , the category of right modules over  $R^{op}$ .

### 2 Covariant Functors

**Definition** If  $\mathcal{C}$  and  $\mathcal{D}$  are categories, then a *covariant* functor  $T : \mathcal{C} \to \mathcal{D}$  is a functor taking  $C \in \text{Obj}(\mathcal{C})$  to  $T(C) \in \text{Obj}(\mathcal{D})$ , and  $f : C \to D$  in  $\mathcal{C}$  to  $T(f) : T(D) \to T(C)$  in  $\mathcal{D}$ , satisfying:

 $T(1_A) = 1_{T(A)} \text{ for all } A \in \operatorname{Obj}(\mathcal{C})$ If  $A \xrightarrow{f} B \xrightarrow{g} C$  in  $\mathcal{C}$ , then  $T(C) \xrightarrow{T(g)} T(B) \xrightarrow{T(g)} T(A)$  in  $\mathcal{D}$ , i.e., T(gf) = T(f)T(g).

e.g. Let  $\mathcal{C}$  be a category. Then the covariant Hom functor  $\operatorname{Hom}(\_, B) : \mathcal{C} \to \operatorname{Sets}$ , with  $B \in \operatorname{Obj}(\mathcal{C})$ , is defined by  $\operatorname{Hom}(\_, B)(f) : \operatorname{Hom}(D, B) \to \operatorname{Hom}(C, B)$  with  $g \mapsto gf$ . We write  $\operatorname{Hom}(\_, B)(f) = f^*$ .

### 3 Natural Transformations

**Definition** Let  $F, G : \mathcal{C} \to \mathcal{D}$  be functors. Then a *natural* transformation (or a morphism of functors)  $\tau$  from F to  $G, \tau : F \to G$ , is a functor that assigns each  $C \in \text{Obj}(\mathcal{C})$  a morphism of  $\mathcal{D}$  with  $\tau_C : F(C) \to G(C)$  s.t. for all  $F : C \to C'$ , the following diagram commutes:

$$\begin{array}{c|c} F(C) & \xrightarrow{\tau_C} & G(C) \\ F(f) & & & \downarrow^{G(f)} \\ F(C') & \xrightarrow{\tau_{C'}} & G(C') \end{array}$$

e.g. Let  $F : \text{Grp} \to \text{Sets}$  be the "forgetful" functor and let  $S : \text{Grp} \to \text{Sets}$  be the "squaring" functor defined by  $S(G) = G \times G$  (viewed as a set) and  $S(f : G \to H) = f \times f : G \times G \to H \times H$ . So the group multiplication on G is a functor  $\tau_G : G \times G \to G$ .

**Claim**  $\tau$  is a natural transformation.

Take group homomorphism  $f: G \to G'$  such that

This diagram says that f(x)f(y) = f(xy), i.e., f is a group homomorphism.

**Definition** A natural transformation  $\tau : F \to F'$  is a *natural* isomorphism if each  $\tau_A$  is an isomorphism. In this case we say F and F' are *naturally* isomorphic and write  $F \simeq F'$ . Two categories C and D are *equivalent* if there exist  $F : C \to D$  and  $G : D \to C$  s.t.  $F(G) \simeq 1_D$  and  $G(F) \simeq 1_C$ .

#### 4 Finitely Generated Modules

**Definition** Let R be a ring and let M be a left module over R. Let  $R_a = \{ra : r \in R\}$  denote a cyclic module generated by  $a \in M$ . Then M is cyclic if  $M = R_a$  for some  $a \in M$ .

e.g. Viewing R as a module over itself,  $R = R \cdot 1$  is cyclic, and the cyclic submodules of R are exactly the principle ideals.

**Proposition** A module M is cyclic if and only if  $M \cong R/L$  where L is some left ideal of R.

**Proof** Suppose M is cyclic, i.e.,  $M = R_a$ . Take  $f_a : R \to M$  with  $r \mapsto ra$  where ker  $f_a = \operatorname{Ann}_R(a) = L$ . Note that  $f_a$  is onto because  $M = R_a$  and so by the First Isomorphism Theorem,  $M \cong R/L$ . Now assume  $M \cong R/L$ . Take any coset r + L = r(1 + L) (conversely, any r(1 + L) = r + L is a coset of L). So R/L = R(1 + L) = R(a), and we are done.

If R is a PID, i.e., every ideal of R is principal, then every cyclic module has the form  $R/R_d$  for some  $d \in R$ .

**Definition** Let  $S = \{a_i\}_{i \in I}$  be a subset of a module M. We say S spans M if every element of M can be written as as a finite sum  $\sum_{i \in I} r_i a_i$ . Moreover, Mis finitely generated if it is spanned by a finite set (in this case  $M = \sum_{i=1}^{t} r_i a_i$ ).

# 5 Direct Sums and Cartesian Products of Modules

We know they should be: the categorical coproduct and product, respectively, but we need to show they exist. Cartesian product works for any universal algebraic category.

**Definition** Let  $\{M_i\}_{i \in I}$  be *R*-modules and let  $\prod_{i \in I} M_i$  be the set (Cartesian product) with + component-wise and  $r((a_i)_{i \in I}) = (ra_i)_{i \in I}$ .

This is a module since all the identities/axioms hold component-wise and so hold in  $\prod_{i \in I} M_i$ . If we take this with projections  $\pi_i : \prod M_j \to M_i$  with  $(a_j)_{j \in I} \mapsto a_i$ . We need to check this satisfies universal properties of products:



where we define  $\theta$  by  $\theta(x) = (\beta_i(x))_{i \in I}$ , and this is the unique map which works.

Version: 1.2