

# Math 800, Commutative Algebra, Lecture 15

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## 1 Proving theorem B

Theorem B: If  $R$  is an affine domain over a field  $F$  then  $Kdim R = trdeg_F R$ .

*Proof.* By induction on  $n = trdeg_F R$ .  $n = 0$  follows from theorem A. Take  $n > 0$ , by Noether normalization  $R$  is integral over  $R_0 = F[b_1, b_2, \dots, b_n]$  and  $R_0 \cong F[\lambda_1, \lambda_2, \dots, \lambda_n]$ . We have that every maximal ideal of  $R_0$  has height  $\geq n$  so  $Kdim R_0 \geq n$  and integral extensions preserve Krull dimension so  $Kdim R \geq n$ . Now suppose we have  $P_0 \supsetneq P_1 \supsetneq \dots \supsetneq P_n \supsetneq 0$  a chain in  $Spec(R_0)$  which has length  $> n$  let  $\bar{R} = R_0/P_n$ , so  $trdeg \bar{R} < n$ . So by induction  $Kdim \bar{R} < n$  but  $P_0/P_n \supsetneq P_1/P_n \supsetneq \dots \supsetneq P_n/P_n = 0$  is a chain of length  $n$  in  $Spec(\bar{R})$ .  $\square$

## 2 Chain conditions and modules

For the rest of today  $R$  not necessary commutative.

**Definition.** Let  $S$  be a poset,  $S$  satisfies the ascending chain condition (ACC) if there is no infinite strictly ascending chain in  $S$   $s_1 < s_2 < \dots$ , equivalently any weakly ascending chain in  $S$   $s_1 \leq s_2 \leq \dots$  eventually stabilizes. i.e.  $\exists n$  s.t  $s_n = s_{n+1} = \dots$ .

$S$  satisfies the descending chain condition (DCC) if there is no infinite strictly descending chain in  $S$   $s_1 > s_2 > \dots$ , equivalently any weakly descending chain in  $S$   $s_1 \geq s_2 \geq \dots$  eventually stabilizes. i.e.  $\exists n$  s.t  $s_n = s_{n+1} = \dots$ .

In the case  $M$  is a left  $R$ -module and  $\mathcal{L}_R(M)$  is the lattice of submodules. Then say  $M$  is Noetherian (Artinian) if  $\mathcal{L}_R(M)$  satisfies ACC (DCC).

**Property.** Let  $S$  be a poset,

(1)  $S$  satisfies ACC iff every nonempty subset of  $S$  has a maximal element

(2)  $S$  satisfies DCC iff every nonempty subset of  $S$  has a minimal element

*Proof.* (1)  $\Leftarrow$  : Suppose we have  $s_1 \leq s_2 \leq \dots$  an ascending chain in  $S$ , consider  $\{s_1, s_2, \dots\} \subseteq S$  this has a maximal element say  $s_i$ . But  $s_i \leq s_{i+1} \leq \dots$  so  $s_i = s_{i+1} = s_{i+2} = \dots$

$\Rightarrow$  : Suppose there is a subset  $S_0 \subseteq S$  which doesn't have a maximal element. Take  $s_1 \in S_0$ , given  $s_1 < s_2 < \dots < s_k \in S_0$  since  $s_k$  is not maximal in  $S_0$ ,  $\exists s_{k+1} \in S_0$ ,  $s_k < s_{k+1}$  this builds an infinite strictly ascending chain contradicting ACC.

(2) same by flipping  $\leq_S$  i.e by dual poset. □

**Property.** Suppose  $N \subseteq M$  submodule,

(1)  $M$  is Noetherian iff  $N$  is Noetherian and  $M/N$  is Noetherian.

(2)  $M$  is Artinian iff  $N$  is Artinian and  $M/N$  is Artinian.

*Proof.* (1)  $\Rightarrow$ : Any infinite ascending chain in  $N$  is also infinite ascending chain in  $M$  so  $N$  is Noetherian. An infinite ascending chain in  $M/N$  looks like  $M_0/N \subseteq M_1/N \subseteq M_2/N \subseteq \dots$ . Then  $M_0 \subseteq M_1 \subseteq M_2 \subseteq \dots$  is an infinite ascending chain in  $M$  so it eventually stabilizes, so  $M_0/N \subseteq M_1/N \subseteq M_2/N \subseteq \dots$  stabilizes.

$\Leftarrow$ : let  $M_0 \subseteq M_1 \subseteq \dots$  be an ascending chain of submodules of  $M$ . Then  $M_0 \cap N \subseteq M_1 \cap N \subseteq \dots$  is an ascending chain of submodules of  $N$  and hence stabilizes. Also  $(M_1 + N)/N \subseteq (M_2 + N)/N \subseteq \dots$  is an ascending chain of submodules of  $M/N$  and hence stabilizes. Take  $i$  large enough that both these chains stabilize. So  $M_i \cap N = M_{i+1} \cap N$  and  $(M_i + N)/N = (M_{i+1} + N)/N$  but modularity of modules says

$$\begin{aligned} M_i &= M_i + (N \cap M_i) \\ &= M_i + (N \cap M_{i+1}) = (M_i + N) \cap M_{i+1} \\ &= (M_{i+1} + N) \cap M_{i+1} \\ &= M_{i+1} \end{aligned}$$

So  $M$  is noetherian. (2) The same proof. □

**Corollary.** Let  $M$  be a left  $R$ -module if  $M$  is Artinian and Noetherian then  $M$  has a composition series.

*Proof.* Given any nonzero module  $M_i$  consider the set of proper submodules of  $M_i$ . This has a maximal element  $M_{i+1}$  so  $M_i/M_{i+1}$  is simple. Iterating we get  $M = M_0 \supsetneq M_1 \supsetneq \dots$  and this terminates by Artinianess. □

**Property.** Let  $M$  be an left  $R$  module,  $M$  is Noetherian iff every submodule of  $M$  is finitely generated.

*Proof.*  $\Leftarrow$ : Take  $M_0 \subseteq M_1 \subseteq \dots$  chain of submodules of  $M$ .  $\bigcup M_i$  is finitely generated so there is some  $j$  such that all the generators (finitely many) are in  $M_j$ , so  $M_j \subseteq M_{j+1} \subseteq \dots \subseteq \bigcup M_i \subseteq M_j$  thus  $M_j = M_{j+1} = \dots$

$\Rightarrow$ : Say  $N$  is a submodule which is not finitely generated. Consider the set of finitely generated submodules of  $N$ . This is nonempty since it contains 0. So it contains a maximal element call it  $N'$ ,  $N' \subsetneq N$  since  $N$  is assumed not finitely generated. Take  $a \in N - N'$  then  $N' + Ra$  is submodule of  $N$  and is finitely generated and properly contains  $N'$  contradicting the maximality of  $N'$ .  $\square$

### 3 Noetherian and Artinian rings

**Definition.** A ring  $R$  is left(right) Noetherian if  $R$  is Noetherian as a left(right)  $R$  module. A ring  $R$  is left(right) Artinian if  $R$  is Artinian as a left(right)  $R$  module.

A ring  $R$  is Noetherian(Artinian) if it is both left and right Noetherian(Artinian). For  $R$  commutative left Noetherian(Artinian) and right Noetherian(Artinian) are the same.

**Note.** (rephrasing one of the module results) A ring  $R$  is left Noetherian iff every left ideal is finitely generated.

**Property.** (1) Let  $R$  be a left Noetherian ring then every finitely generated left  $R$ -module is Noetherian.

(2) Let  $R$  be a left Artinian ring then every finitely generated left  $R$ -module is Artinian.

*Proof.* (1): Any such module  $M$  has the form  $M \cong R^{(n)}/K$  where  $K$  is a submodule of  $R^{(n)}$ . It suffice to show  $R^{(n)}$  is Noetherian. Prove this by induction on  $n$ .

$n = 1$ , given. Take  $n > 1$ ,  $R^{(n)}/R \cong R^{(n-1)}$  so  $R^{(n-1)}$  is Noetherian by induction and  $R$  is given Noetherian. So  $R^{(n)}$  is Noetherian.

(2) Same.  $\square$

A theorem we won't prove.

Theorem. (Corollary of Hopkins-Levitzki)

All left Artinian rings are left Noetherian.

**Example.** (1) Let  $F$  be a field viewing  $F$  as a ring. Then  $F$  is both Noetherian and Artinian.

(2) Let  $V$  be a vector space over a field viewing as a module.  $V$  is Noetherian iff  $V$  is finite dimensional iff  $V$  is Artinian.

(3)  $R$  a PID. Since every ideal is cyclic hence finitely generated, so  $R$  is Noetherian.

(4)  $R = F[\lambda_1, \lambda_2, \dots]$  is not Noetherian since  $0 \subsetneq \langle \lambda_1 \rangle \subsetneq \langle \lambda_1, \lambda_2 \rangle \subsetneq \dots$ .

(5) Fix  $p$  prime, let  $M = \{\frac{m}{n} : n \text{ is a power of } p\}$  viewing  $M$  as a  $\mathbb{Z}$ -module (i.e an abelian group). Let  $N = M/\mathbb{Z}$  suppose  $K$  is a submodule of  $N$ , if  $\frac{m}{n} + \mathbb{Z} \in K$  with  $\text{g.c.d}(m, n) = 1$ . Write  $am + bn = 1$  so  $a(\frac{m}{n} + \mathbb{Z}) = \frac{1}{n} - \frac{bn}{n} + \mathbb{Z} = \frac{1}{n} + \mathbb{Z}$ . Say  $\frac{1}{p^a} + \mathbb{Z} \in K$ ,  $\frac{1}{p^b} + \mathbb{Z} \in K$  with  $a < b$  then  $p^{b-a}(\frac{1}{p^b} + \mathbb{Z}) = \frac{1}{p^a} + \mathbb{Z}$  so every nonzero submodule of  $N$  is generated by the elements of the form  $\frac{1}{n} + \mathbb{Z}$ .

We have a chain  $(\frac{1}{p} + \mathbb{Z})N \subsetneq (\frac{1}{p^2} + \mathbb{Z})N \subsetneq \dots$  thus  $n N$  is not Noetherian.

Given a set of submodules of  $N$  take the minimum denominator of generators, this generates the minimal element of the set. Thus  $N$  is Artinian.

## 4 Hilberts Basis theorem

Theorem. (Hilberts basis theorem)

If  $R$  is a left Noetherian ring then the polynomial ring  $R[\lambda]$  is also left Noetherian.

*Proof.* Suppose  $I \subseteq R[\lambda]$  is a left ideal take  $f_1 \neq 0$ ,  $f_1 \in I$  of least degree proceeding inductively on  $i$ , for  $i \geq 1$ ,  $\langle f_1, f_2, \dots, f_i \rangle = I$  then we are done. If not then pick  $f_{i+1} \in I \setminus \langle f_1, \dots, f_i \rangle$  of least degree.

Let  $a_i$  be the leading coefficient of  $f_i$ . Since  $R$  is Noetherian so  $\langle a_1, a_2, \dots \rangle \subseteq R$  generated by  $a_1, \dots, a_m$ . Claim  $f_1, \dots, f_m$  generates  $I$ . Suppose not,  $a_{m+1} = \sum_{i=1}^m c_i a_i$  so let  $g = \sum_{i=1}^m c_i f_i \lambda^{(\text{deg} f_{m+1} - \text{deg} f_i)}$  by construction  $\text{deg} f_{m+1} - \text{deg} f_i \geq 0$ . Note  $f_{m+1} - g$  has degree strictly lower than  $f_{m+1}$  but  $f_{m+1} - g \notin \langle f_1, \dots, f_m \rangle$  contradicting the choice of  $f_{m+1}$ . Result follows.  $\square$

**Corollary.** *Every affine algebra is Noetherian.*

*Proof.* An affine algebra is of the form  $F[\lambda_1, \dots, \lambda_m]/A$ , it suffices to show  $F[\lambda_1, \dots, \lambda_m]$  is Noetherian which is true by Hilbert's basis theorem applied inductively.  $\square$