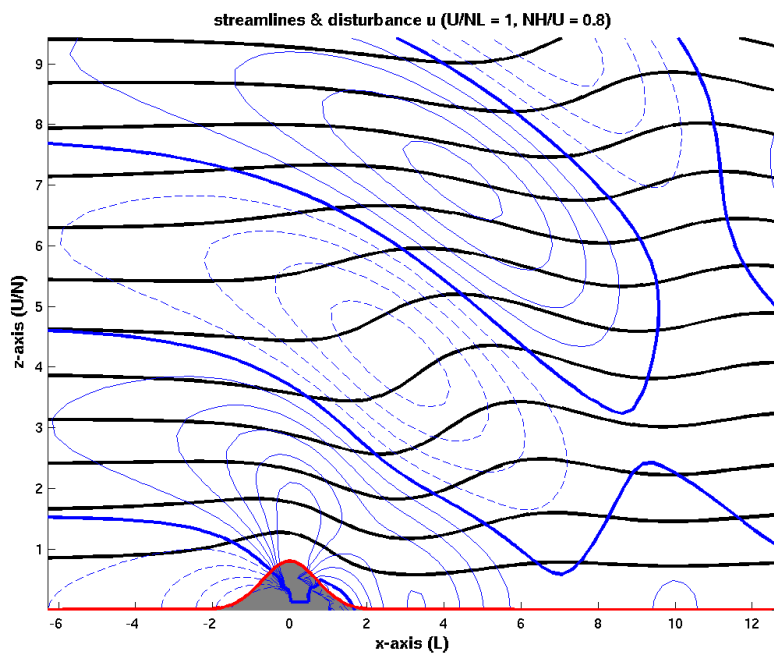


# Waves Generated by Airflow over a Mountain Ridge

- ▷ a Helmholtz theory for steady waves in density-stratified flow
- ▷ linear instability & a critical resonant triad



<http://www.fridgeproductions.pwp.blueyonder.co.uk/>

- ▷ Dave Muraki, Simon Fraser University
- ▷ Youngsuk Lee & David Alexander, SFU
- ▷ Craig Epifanio, Texas A&M

# Topographic Gravity Waves

## Atmospheric Concerns

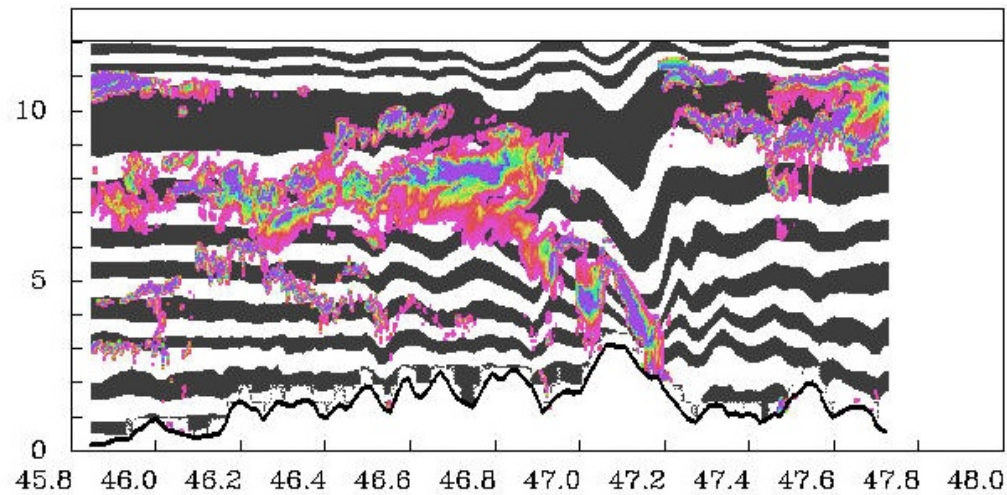


Image courtesy Flight Safety Australia - Jan/Feb 2002

<http://www.casa.gov.au/avreg/fsa/download/02jan/ATSB.pdf>



<http://users.snowcrest.net/weshawk/LayeredLentic.jpeg>



Volkert, et al. 2002

- ▷ mathematical story: idealized steady 2D flows & their stability

# Atmospheric Fluid Dynamics

---

## Fluid Dynamics & Thermodynamics

- ▷ incompressible 2D Euler equations with Boussinesq buoyancy

$$u_x + w_z = 0$$

$$\frac{Du}{Dt} = -\phi_x$$

$$\frac{Dw}{Dt} - B = -\phi_z$$

$$\frac{DB}{Dt} = 0$$

- ▷ adiabatic buoyancy,  $B$  (buoyant  $\leftrightarrow$  light) & geopotential,  $\phi$  (pressure)

- ▷ 2D advection:  $\frac{D}{Dt} = \frac{\partial}{\partial t} + u \frac{\partial}{\partial x} + w \frac{\partial}{\partial z}$

## Streamfunction & Vorticity

- ▷ streamfunction,  $\Psi \rightarrow u = \Psi_z ; w = -\Psi_x$

- ▷ vorticity,  $\eta \rightarrow \eta = u_z - w_x = \nabla^2 \Psi$

# Stratified Potential Flow

---

## Vorticity/Buoyancy Formulation

- ▷ vorticity inversion:  $\nabla^2 \Psi = \eta$

$$\frac{D\eta}{Dt} + B_x = \eta_t + J(\eta, \Psi) + B_x = 0$$

$$\frac{DB}{Dt} = B_t + J(B, \Psi) = 0$$

- ▷ 2D streamfunction advection  $\rightarrow$  Jacobian determinant

$$J(f, \Psi) = \begin{vmatrix} f_x & \Psi_x \\ f_z & \Psi_z \end{vmatrix} = \begin{vmatrix} f_x & -w \\ f_z & u \end{vmatrix} = u f_x + w f_z$$

## Steady Flow

- ▷ zero Jacobian condition:  $J(B, \Psi) = 0 \rightarrow B$  is constant along streamlines
- ▷ upstream/mean conditions (uniform wind & constant stratification):

$$\left. \begin{aligned} \Psi &= \mathcal{U} z + \psi \\ B &= \mathcal{N}^2 z + b \end{aligned} \right\} \rightarrow B = \frac{\mathcal{N}^2}{\mathcal{U}} \Psi$$

- ▷ zero Jacobian condition: localized disturbance streamfunction,  $\psi(x, z)$

$$J(\eta, \Psi) + \frac{\mathcal{N}^2}{\mathcal{U}} \Psi_x = J\left(\nabla^2 \psi + \left(\frac{\mathcal{N}^2}{\mathcal{U}}\right)^2 \psi, \Psi\right) = 0$$

# Long's Theory (1953)

---

## Helmholtz Equation

- ▷ linear Helmholtz equation for steady 2D streamfunction,  $\psi(x, z)$

$$\nabla^2 \psi + \left( \frac{\mathcal{N}}{u} \right)^2 \psi = 0$$

- ▷ special nonlinear solutions for **constant stratification** & **uniform incident wind**

## Scales

- ▷ simple topographic case: three length scales

$$u/\mathcal{N} = \text{wave scale} \quad ; \quad H = \text{mountain height} \quad ; \quad L = \text{mountain width}$$

- ▷ two dimensionless parameters

$$\mathcal{A} \equiv \frac{\mathcal{N}H}{u}, \text{ height parameter} \quad ; \quad \sigma \equiv \frac{u}{\mathcal{N}L}, \text{ nonhydrostatic parameter}$$

## Nondimensionalized Problem

- ▷ Helmholtz equation ( $\sigma \rightarrow 0$ , hydrostatic case)

$$\sigma^2 \psi_{xx} + \psi_{zz} + \psi = 0$$

- ▷ zero surface streamfunction:  $\Psi(x, \mathcal{A}h(x)) = \mathcal{A}h(x) + \psi(x, \mathcal{A}h(x)) = 0$

# A Fourier Approach

---

Fourier Modes,  $e^{i(kx+mz)}$

- ▷ Helmholtz dispersion relation:  $m^2 = 1 - \sigma^2 k^2$
- ▷ sign choice  $\rightarrow$  far-field conditions: upward group velocity or decay (Queney, 1948)

$$m(k) = \begin{cases} \text{sign}(k) \sqrt{1 - \sigma^2 k^2} & \text{for } |\sigma k| \leq 1 \text{ (long scale radiation)} \\ i \sqrt{\sigma^2 k^2 - 1} & \text{for } |\sigma k| \geq 1 \text{ (short scale decay)} \end{cases}$$

## General Helmholtz Solution

- ▷ Fourier integral representation with far-field conditions

$$\psi(x, z) = -\mathcal{A} \int_{-\infty}^{+\infty} \hat{c}(k) e^{i(kx+m(k)z)} dk$$

- ▷  $z = \mathcal{A}h(x)$  surface condition:  $\mathcal{A}h(x) + \psi(x, \mathcal{A}h(x)) = 0$

$$h(x) - \int_{-\infty}^{+\infty} \hat{c}(k) e^{i(kx+m(k)\mathcal{A}h(x))} dk = 0$$

- ▷ linear integral operator on  $\hat{c}(k) \rightarrow$  Fredholm integral equation of first-kind
- ▷ numerically equivalent to a matrix inversion

## Direct Steady Solve

---

$$h(x) - \int_{-\infty}^{+\infty} \hat{c}(k) e^{i(kx + m(k)Ah(x))} dk = 0$$

### Numerical Discretization

- ▷ collocation points:  $\{x_1 \dots x_\alpha \dots x_N\}$  &  $N$  knowns:  $h_\alpha = h(x_\alpha)$
- ▷ wavenumbers:  $\{k_1 \dots k_\beta \dots k_N\}$  &  $N$  unknowns:  $\hat{c}_\beta \approx \hat{c}(k_\beta)$
- ▷ approximate integral for each  $x_\alpha$  by **trapezoidal rule** over  $\beta = 1 \dots N$

$$h_\alpha - \sum_{\beta=1}^N \hat{c}_\beta \underbrace{e^{i(k_\beta x_\alpha + m(k_\beta)Ah(x_\alpha))}}_{\mathbf{K}_{\alpha,\beta}} w_\beta \Delta k = 0$$

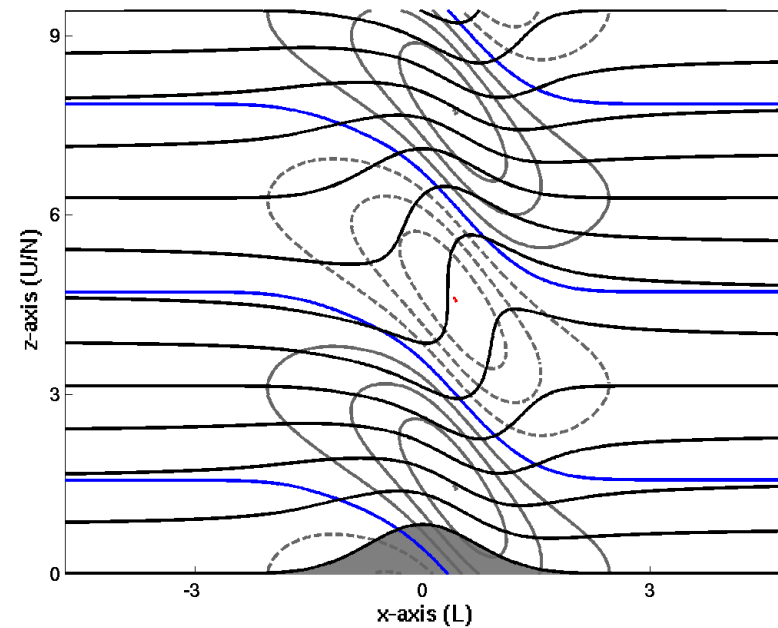
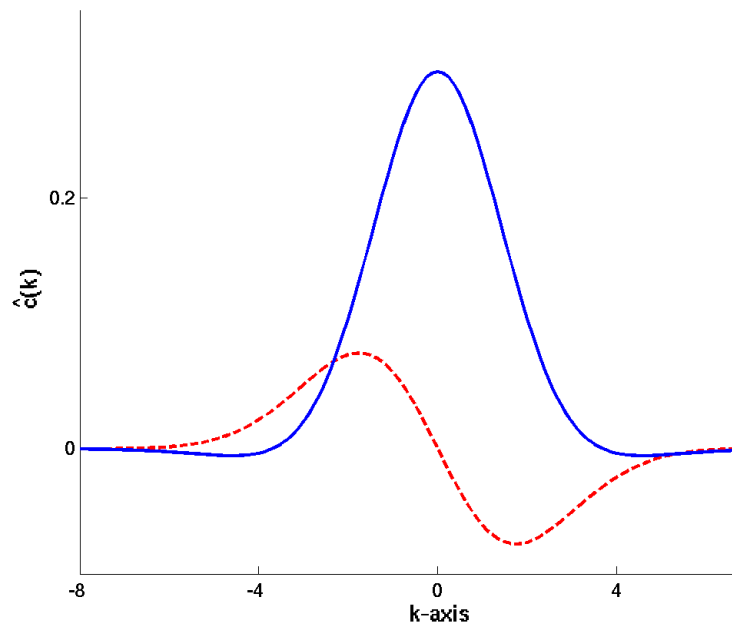
### Matrix Inversion

- ▷  $N$  linear equations in  $N$  unknowns:  $(\vec{h}_\alpha) = [\mathbf{K}_{\alpha,\beta}] (\vec{c}_\beta)$
- ▷  $m(k)$  is discontinuous at  $k = 0 \rightarrow$  half-line integrals
- ▷ full matrix  $\mathbf{K}$  can be ill-conditioned  $\rightarrow$  catastrophic loss of precision as  $N$  increases

# Numerical Implementation

## Fourier Conditioning

- ▷ for  $\mathcal{A} = 0$  linear theory, discrete Fourier transform is well-conditioned
- ▷ equi-spaced discretizations with  $\Delta k \Delta x = 2\pi/N$  is essential



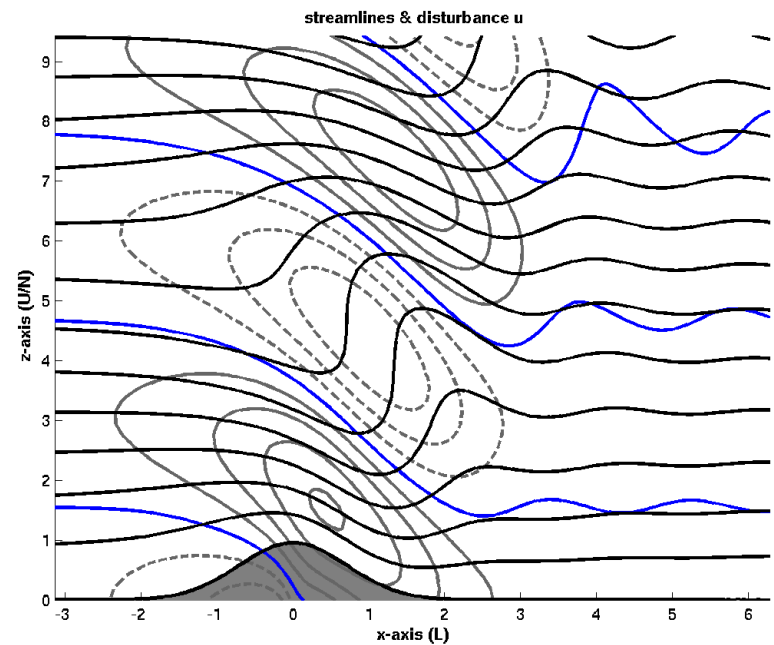
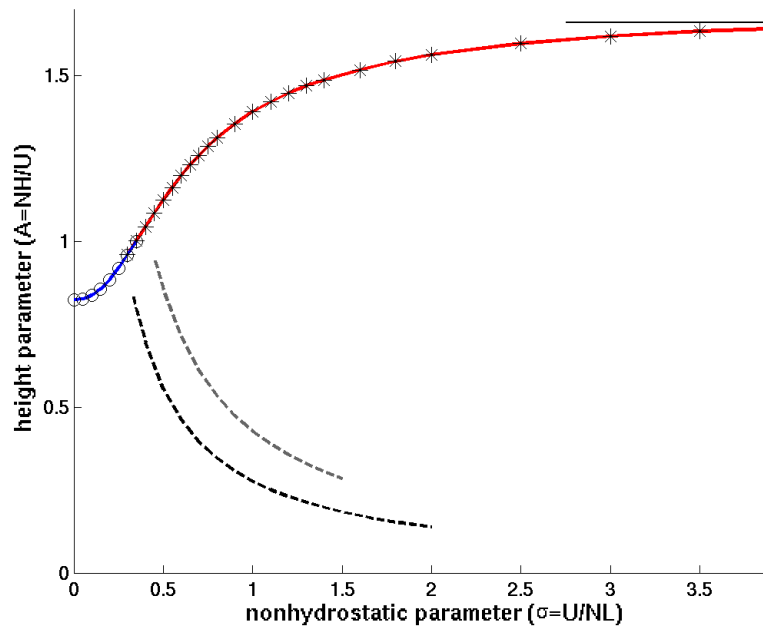
- ▷ hydrostatic ( $\sigma = 0$ ), critical overturning ( $\mathcal{A}_c = 0.82$ ) case for gaussian topography
  - ▷  $N = 256, x_\infty = 8\pi$ : log-condition number = 2.85
- ▷ Fourier representation allows periodic wraparound  $\rightarrow$  large computational domains



# Critical Overturning I

## Gaussian Topography

- ▷ critical overturning height  $A_c(\sigma)$  as a function of nonhydrostatic parameter  $\sigma$
- ▷ wavebreaking limit for static stability of density-stratified flow

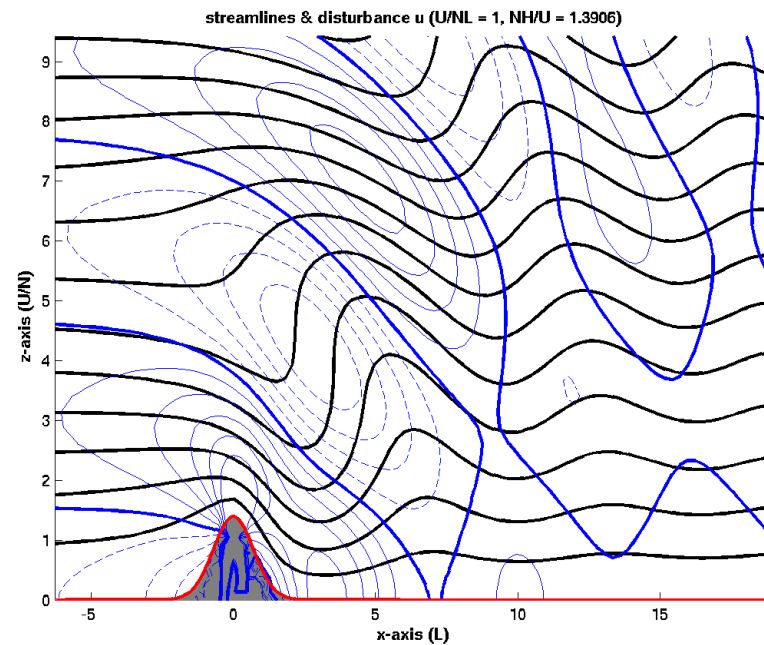
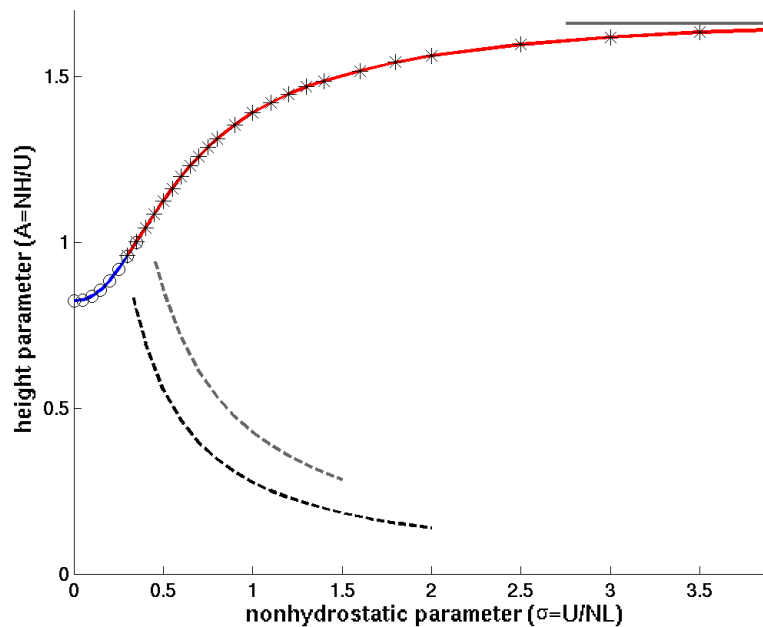


- ▷ Fourier formulation (o) limited by large condition numbers
  - ▷ ill-conditioning edge: 7 & 9 digits lost (- - -)
  - ▷  $\sigma = 0.35$  &  $A_c = 1.00$  shown above

# Critical Overturning II

A Boundary Integral Method Talk Goes Here . . .

- ▷ for strongly nonlinear & nonhydrostatic flows ( $\sigma \geq 0.3$ )
- ▷ boundary integral method (\*) remains well-conditioned ( $\sigma = 1.0$  &  $\mathcal{A}_c = 1.39$  below)



- ▷ second-kind Fredholm integral equation & non-standard Green's function  $\mathcal{G}(\vec{x}_s, \vec{\xi})$  (Lyra, 1943)

$$h(\vec{x}_s) = \mu(\vec{x}_s) - 2 \int_S \mu(s) \frac{\partial \mathcal{G}}{\partial n}(\vec{x}_s - \vec{\xi}(s)) ds$$

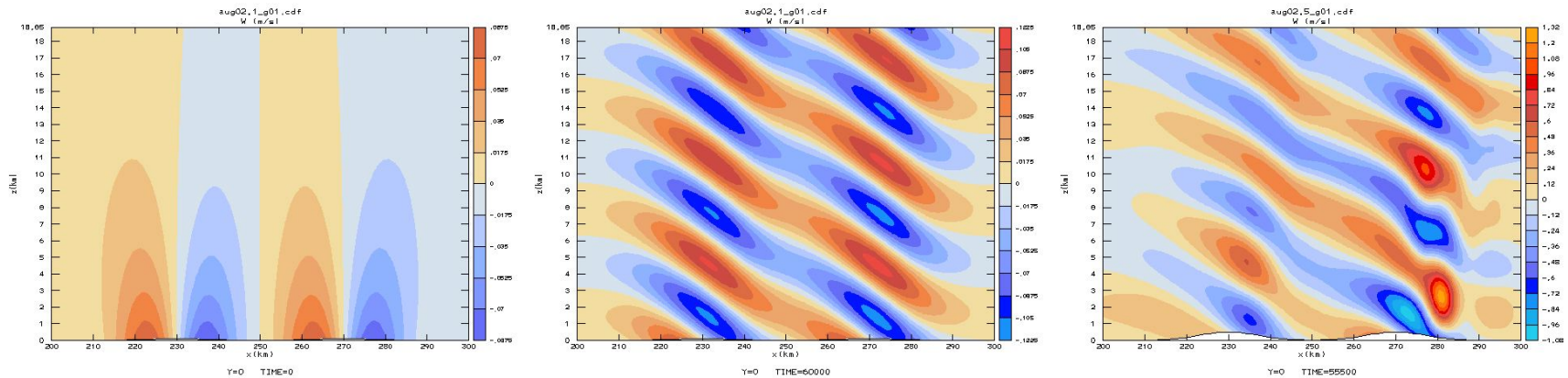
# Question of Stability

## Gravity Wave Instability

- ▷ Mied (1976), plane gravity waves are parametrically unstable
- ▷ Lilly/Klemp (1979), instability observed for sinusoidal topography
- ▷ Scinocca/Peltier (1994), unstable dynamics near critical overturning

## Time-Dependent Simulations (Craig Epifanio, Texas A&M)

- ▷ twin peaks: hydrostatic ( $\sigma = 0$ ), vertical motion  $w$  plots
  - ▷ initialized from potential flow
  - ▷ small height  $\rightarrow$  Long's steady solution is stable
  - ▷ medium height  $\rightarrow$  oscillatory instability to blow-up ( $\mathcal{A} \approx 0.5$ )



# Linear Stability of Long's Steady Solutions

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Hydrostatic ( $\sigma = 0$ ) Disturbance Equations (David Alexander & Youngsuk Lee, SFU)

- ▷ non-constant coefficients from Long's streamfunction  $\Psi(x, z)$

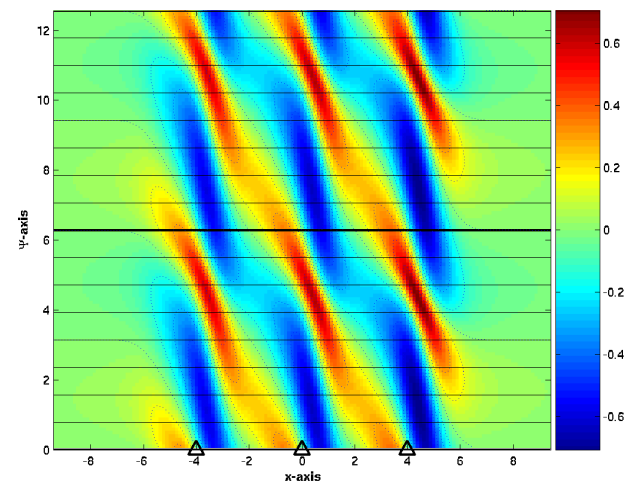
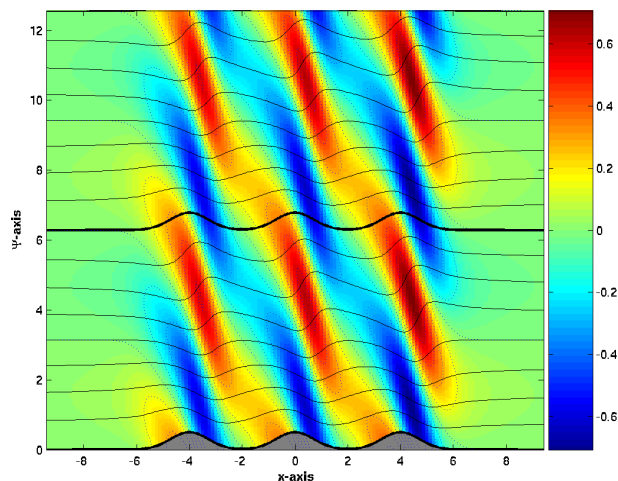
$$\tilde{\psi}_{zzt} + J(\tilde{\psi}_{zz} + \tilde{\psi}, \Psi) + (\tilde{b} - \tilde{\psi})_x = 0$$

$$\tilde{b}_t + J(\tilde{b} - \tilde{\psi}, \Psi) = 0$$

- ▷ 2D PDE eigenvalue problem for  $\tilde{\psi} \rightarrow \tilde{\psi}(x, z)e^{\lambda t}$  &  $\tilde{b} \rightarrow \tilde{b}(x, z)e^{\lambda t}$

## Numerical Linear Algebra

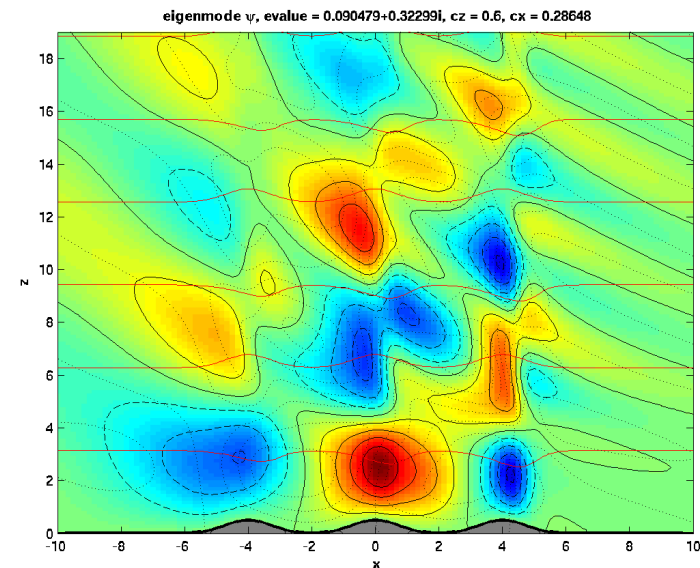
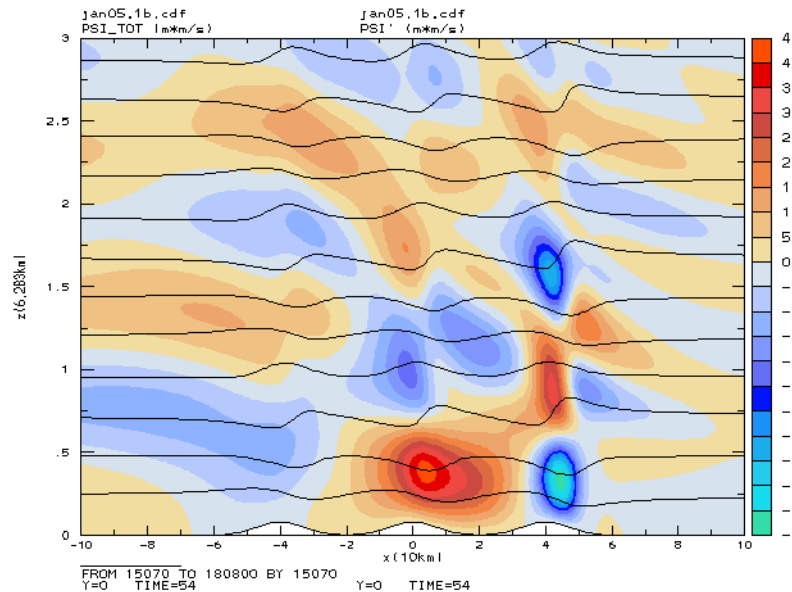
- ▷ steady streamline coordinates  $(x, \Psi(x, z)) \rightarrow$  lower boundary at  $\Psi = 0$
- ▷ self-adjoint formulation  $\rightarrow$  Arnoldi iterative search for eigenvalues (large & sparse)



# A Search for Eigenvalues

## Simulated Instability vs Unstable Eigenfunction

- ▷ 3-peaks: a rough comparison of  $\tilde{\psi}(x, z)$  . . .



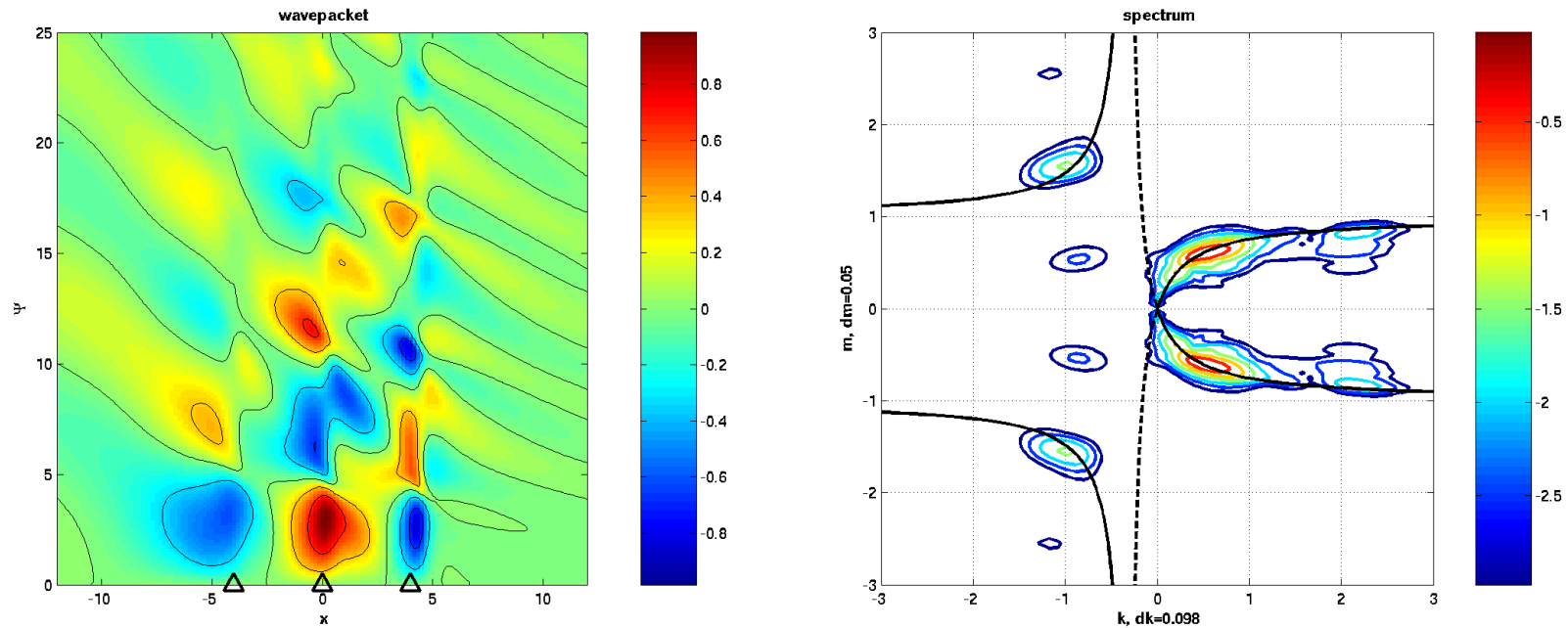
## Observations & Results

- ▷ growth rate ( $\approx 0.05$ ) & frequency ( $\approx 0.29$ )  $\leftrightarrow$  most unstable  $\lambda = 0.09 + 0.32i$
- ▷ drift of cells upwind & upward from 3<sup>rd</sup> ridge
- ▷ sharp node line running upward from 3<sup>rd</sup> ridge
- ▷ cellular pattern above 1<sup>st</sup> ridge
- ▷ plane waves far upstream & downstream

# An Idea from Turbulence Thinking

## Look at Fourier Spectrum

- ▷ eigenmode of a non-constant coefficient PDE in a perturbed 1/2-space ( $\lambda = 0.09 + 0.32i$ )
- ▷ transform with odd extension (to  $\Psi < 0$ ) in streamfunction coordinates



## Linear Waves

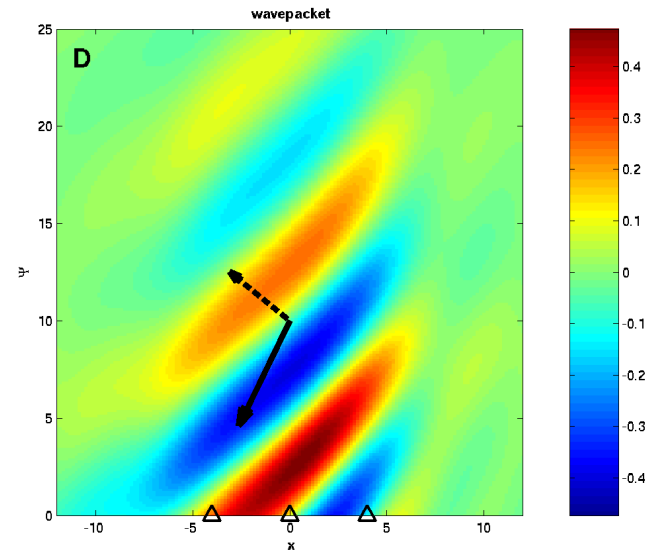
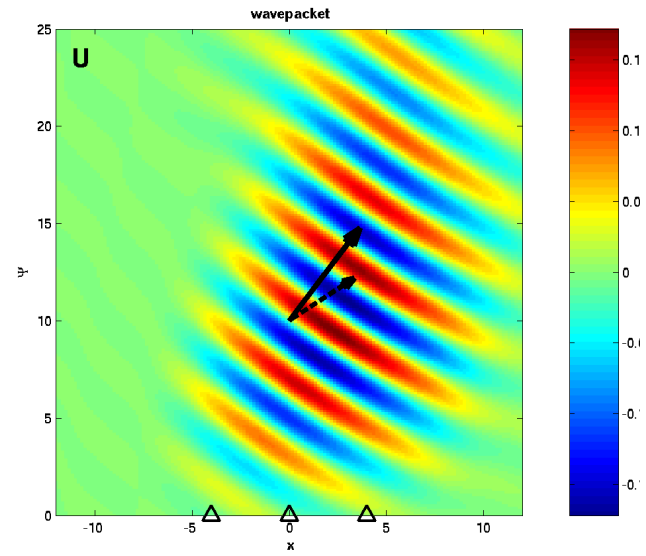
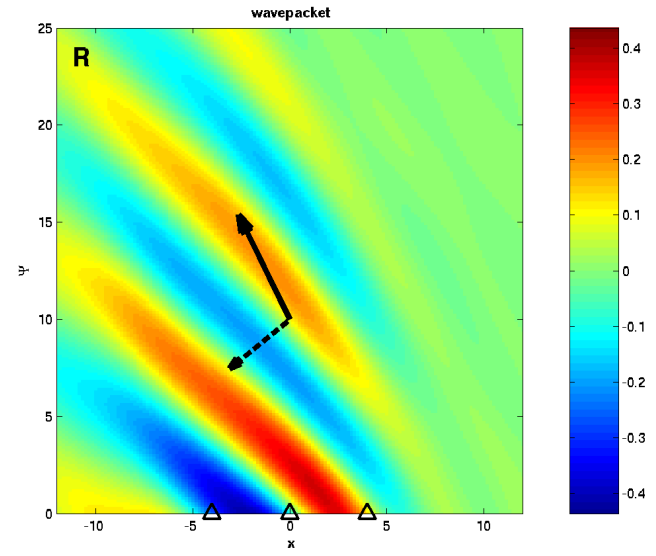
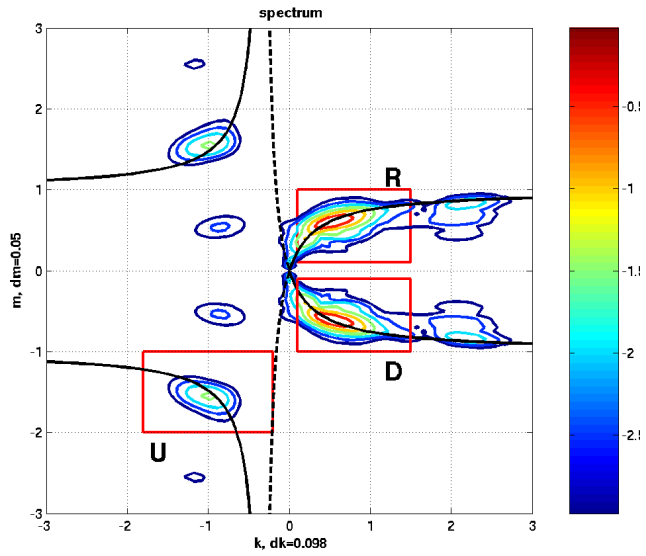
- ▷ Fourier spectrum concentrated on (undisturbed flow) dispersion relation:  $\omega(k, m) = -0.32$

$$\omega(k, m) = k \mp \frac{k}{|m|} \quad ; \quad \vec{c}_g(k, m) = \left( 1 \mp \frac{1}{|m|}, \frac{k|m|}{m^2} \right)$$

- ▷ eigenmode is primarily a superposition of linear waves!

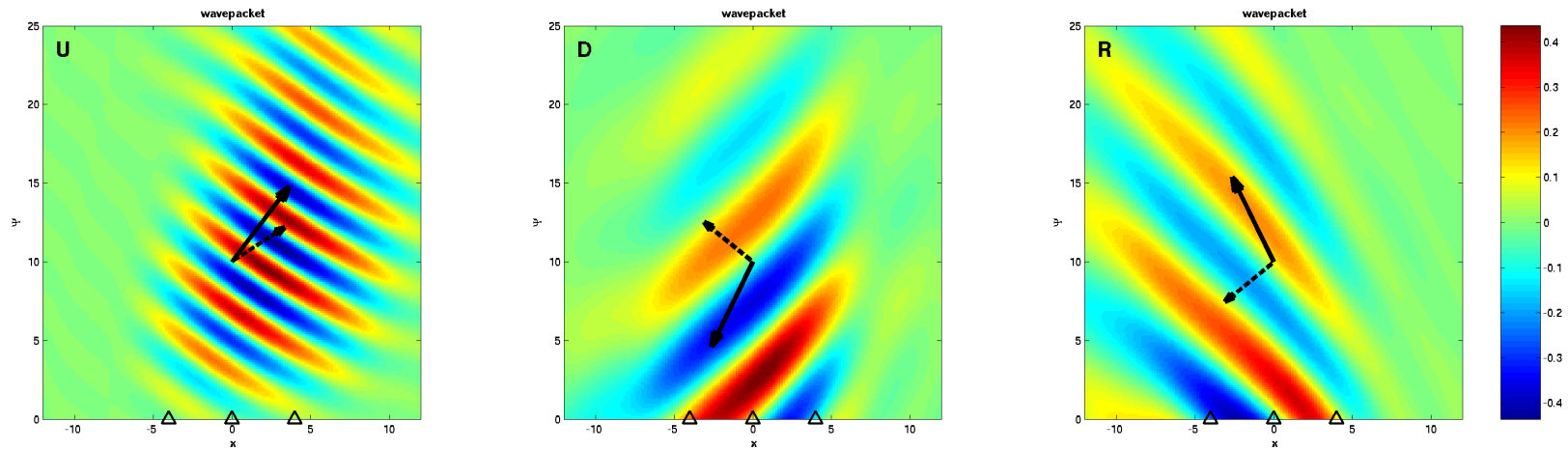
# Spectral Wavepackets

## Inversion of Spectral Peaks



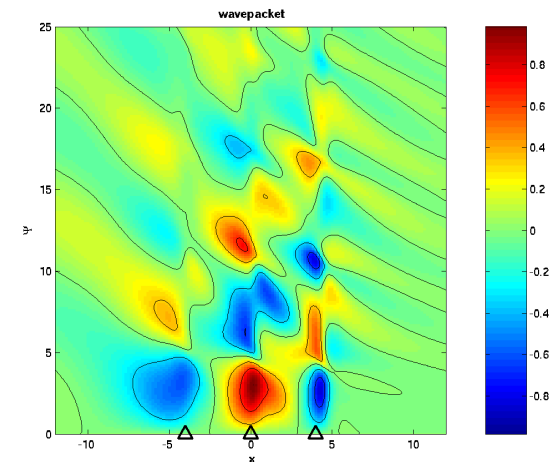
# Wavepacket Interference

## Phase (- -) & Group (—) Velocity Dynamics



## Observations Again

- ▷ wavepackets satisfy  $\omega(k, m) = -\text{Im}(\lambda) = -0.32$
- ▷ drift of cells upwind & upward from 3<sup>rd</sup> ridge
- ▷ sharp node line running upward from 3<sup>rd</sup> ridge
- ▷ cellular pattern above 1<sup>st</sup> ridge
- ▷ plane waves far upwind & downstream

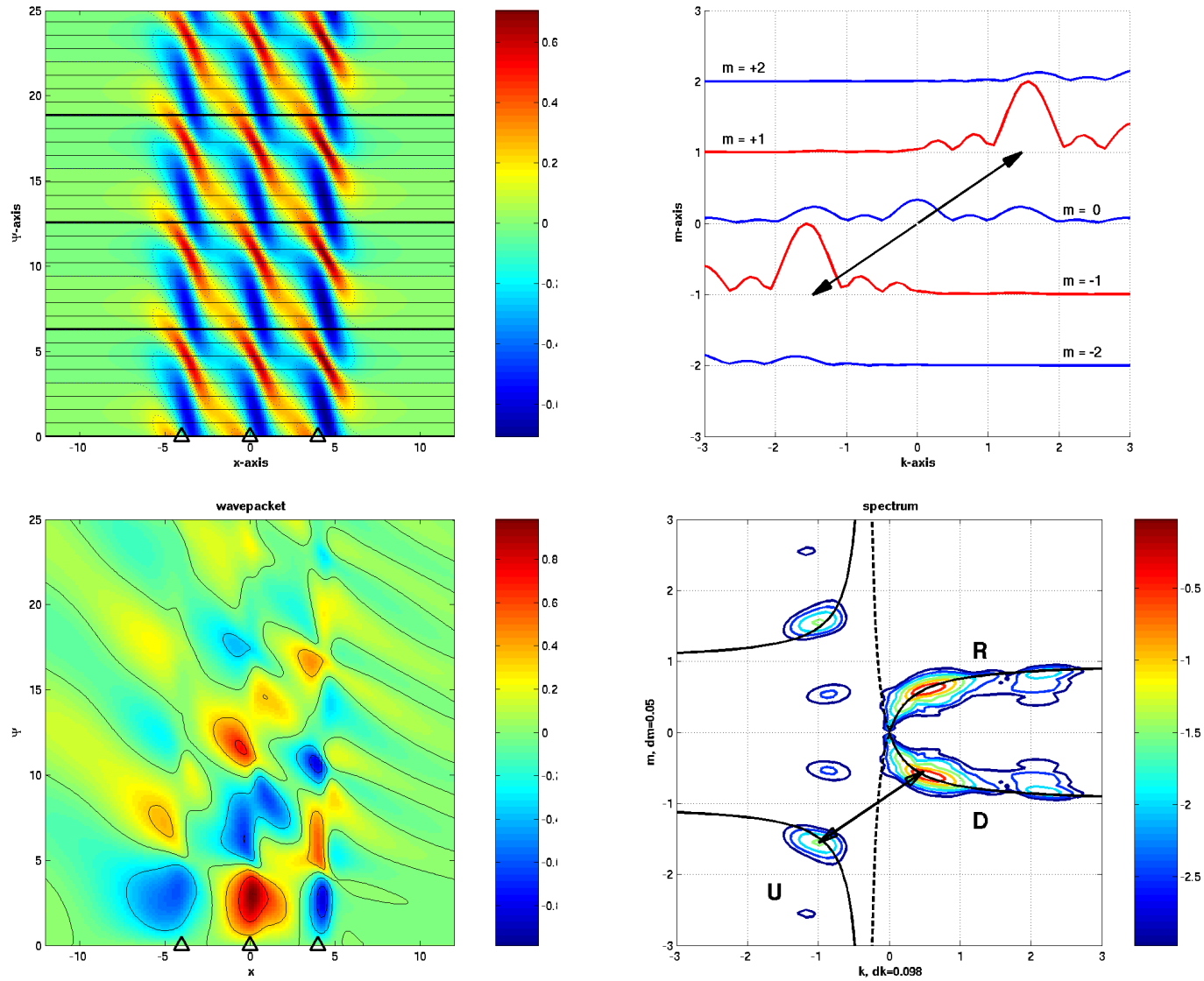


## What Mechanism Generates the U & D Wavepackets?



# A Resonant Triad

Fourier Wavevectors: steady flow & eigenfunction ( $\vec{k}_U + \vec{k}_s = \vec{k}_D$ )



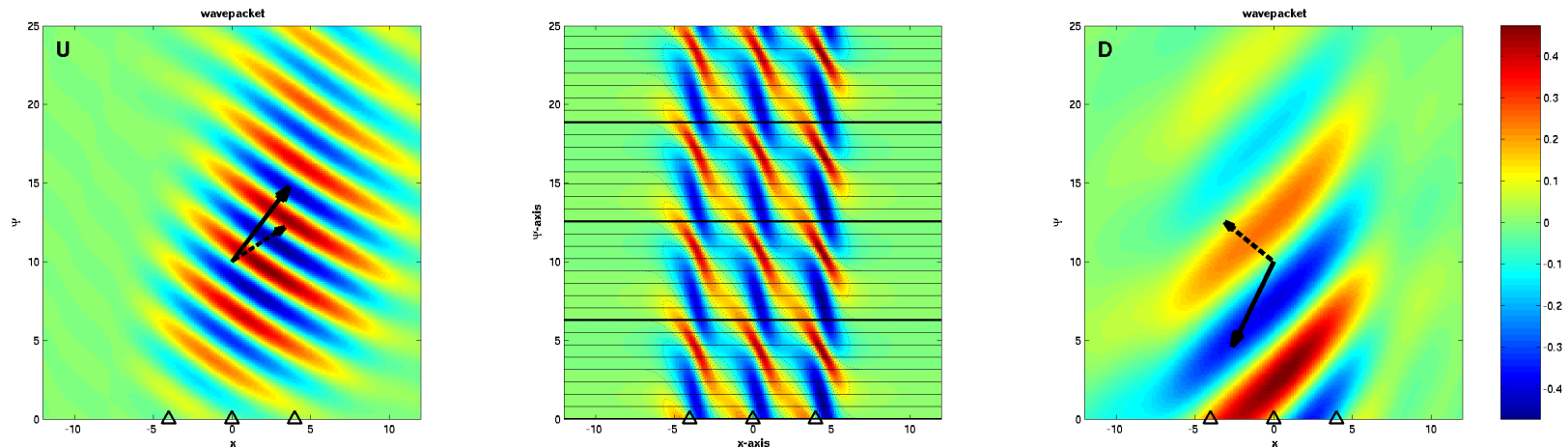
# Instability via Triad Resonance

## 4-Wave Interaction

- ▷  $u(x, \Psi)$  &  $w(x, \Psi)$  are non-constant coefficients for linear disturbances  $\tilde{\psi}(x, \Psi)$  &  $\tilde{\theta}(x, \Psi)$
- ▷ multiplication of Fourier modes  $\leftrightarrow$  addition of wavevectors

U-wavepacket  $\times$  steady flow  $\rightarrow$  D-wavepacket

U-wavepacket  $\leftarrow$  steady flow  $\times$  D-wavepacket

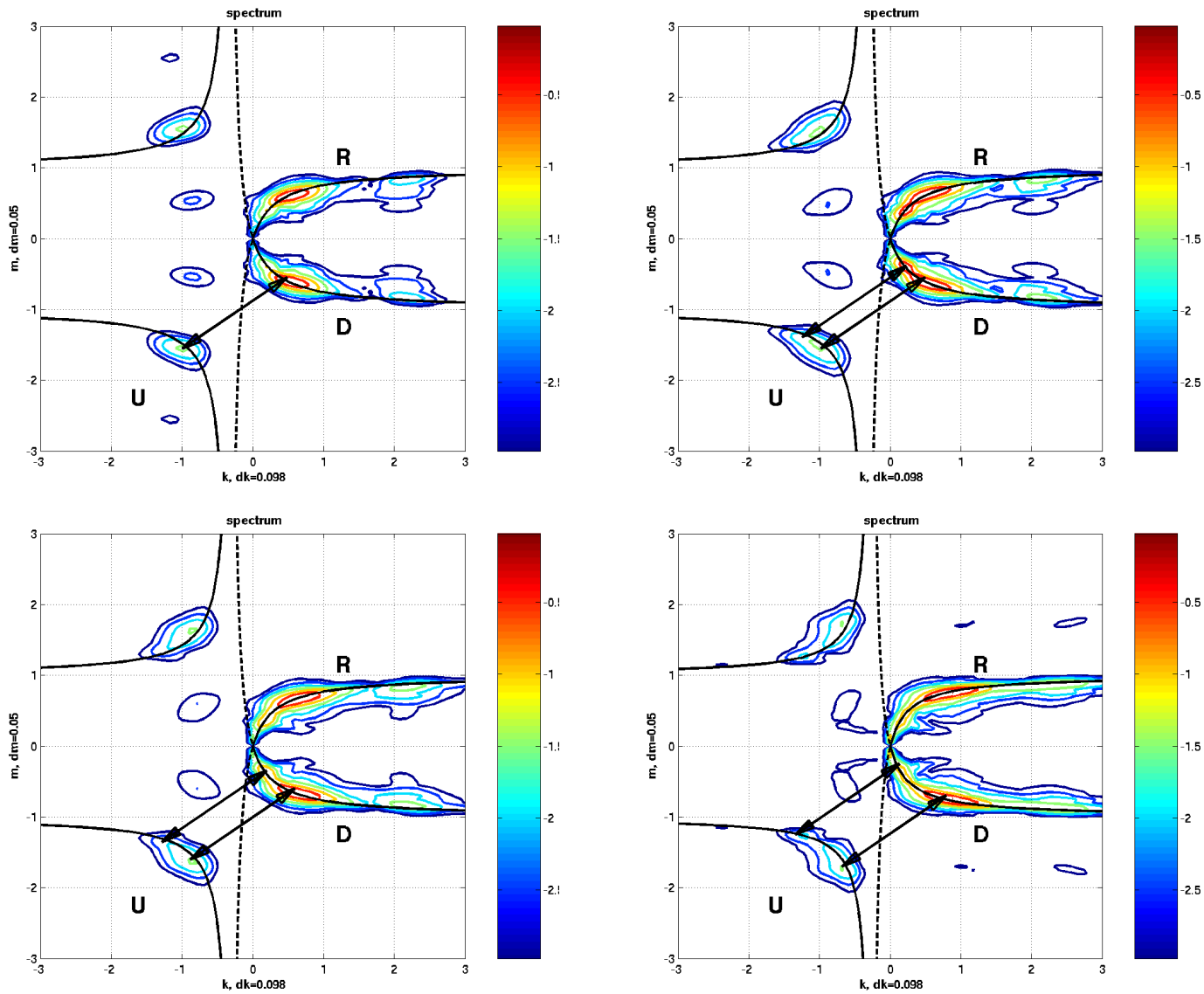


## Resonant Instability

- ▷ occurs if wave generation leads to positive feedback by constructive interference (phase matters)
- ▷ projection onto U- and D-wavepackets alone gives estimate of  $\lambda$  (within 15%)
- ▷ depends on height of topography ( $\mathcal{A} > 0.35?$ )

# Multiple Triads

Spectra for 4 Fastest Growing Modes (of 6 unstable computed)



# Critical Resonant Triad

## Triad Resonance as Function of $\omega_0$

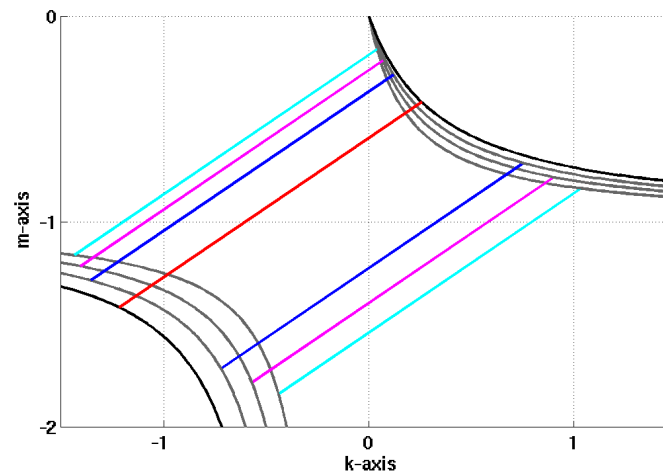
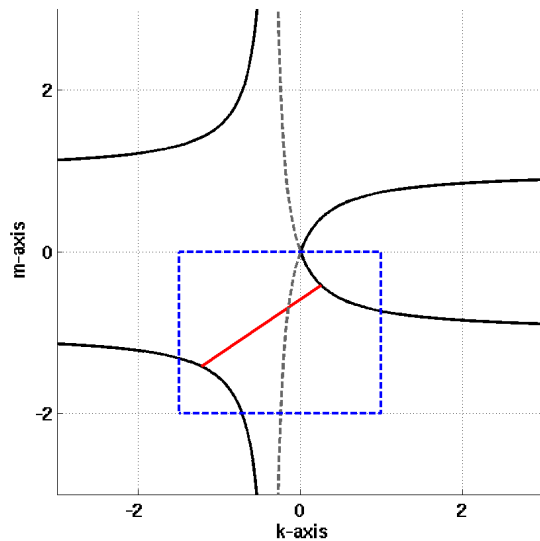
- ▷ triad resonance condition for  $(k_U, m_U)$

$$\omega_0 = \omega(k_U, m_U) = \omega(k_U + k_s, m_U + 1) = \omega(k_D, m_D)$$

- ▷ generically 2 solutions of U-D type → **critical triad** occurs for double root!

$$\omega_c = -\frac{k_s}{4} \quad ; \quad k_U = -3k_D = -\frac{3k_s}{4} \quad ; \quad m_U = 3m_D = -\frac{3}{2}$$

- ▷ triad resonances only occur for  $|\omega_0| < |\omega_c|$  → maximum frequency



- ▷ is the **critical resonant triad** responsible for the most unstable mode?

# In Closing

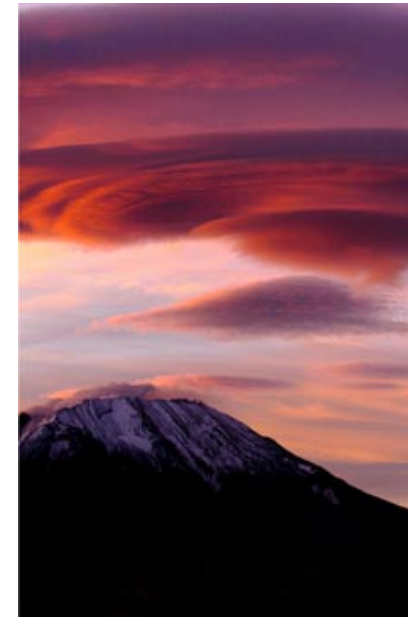
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## Direct Steady 2D Solve

- ▷ non-iterative formulations for exact topographic surface condition
  - ▷ Fourier-based 1<sup>st</sup>-kind solver: near-hydrostatic regime ( $0 \leq \sigma < 0.5$ )
  - ▷ Green's function-based 2<sup>nd</sup>-kind solver: hydrostatic regime ( $0.3 \leq \sigma < 4^+$ )
- ▷ overturning criterion to strongly nonhydrostatic regime
- ▷ accurate solutions for linear stability analysis

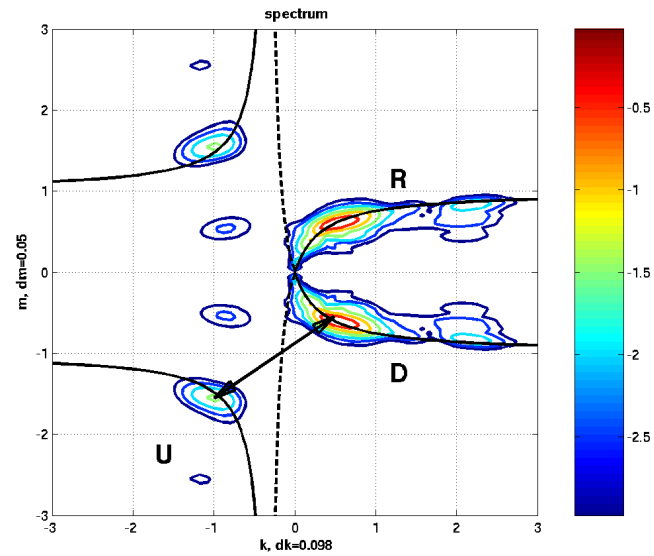
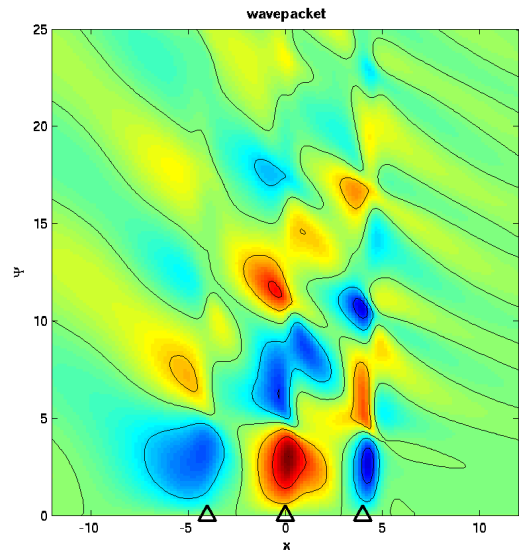
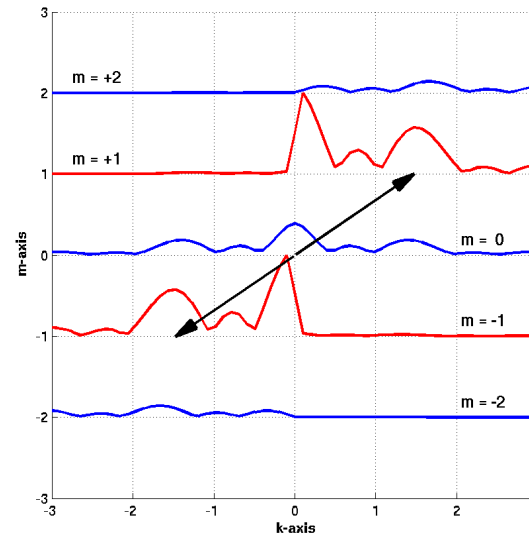
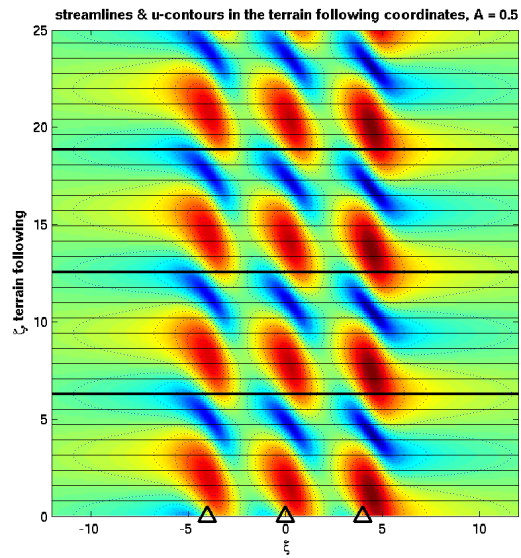
## 2D Linear Stability

- ▷ identification of linear instabilities for multiply-peaked terrain
  - ▷ benchmark against time-dependent simulations
  - ▷ triad resonance mechanism & **critical triad** conjecture
  - ▷ height & separation criterion for instability
- ▷ implications for atmospheric wave drag estimates/parametrizations?



# A Resonant Triad

Fourier Wavevectors: Steady Flow & Eigenfunction ( $\vec{k}_U + \vec{k}_s = \vec{k}_D$ )

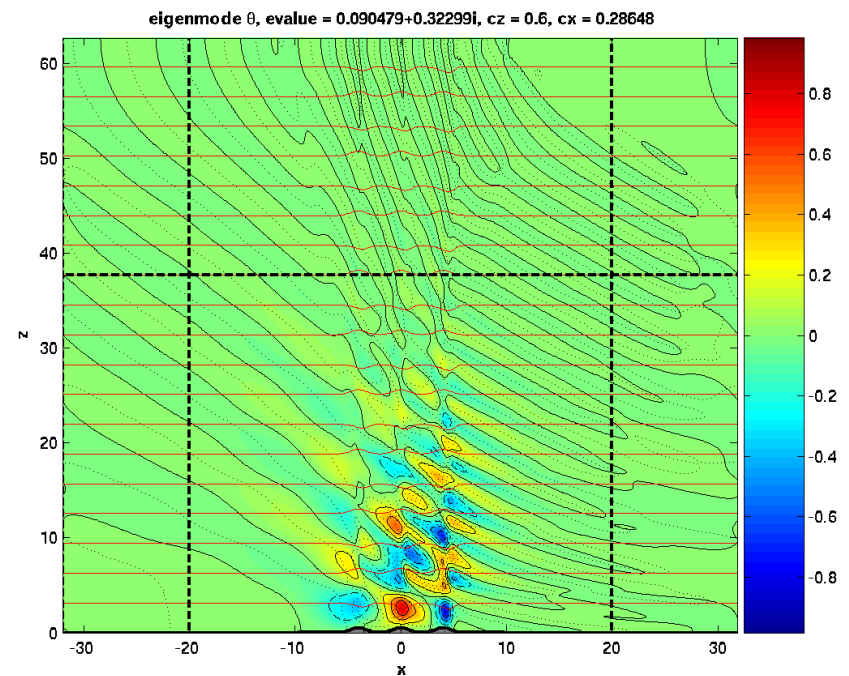
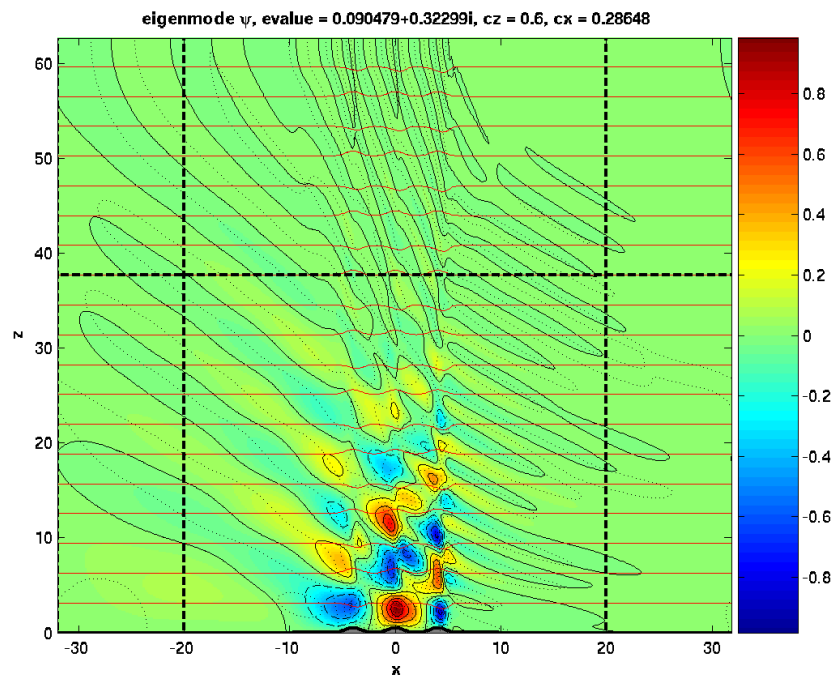


# Most Unstable Mode

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## Computational Details

- ▷  $\tilde{\psi}(x, \Psi)$  &  $\tilde{\theta}(x, \Psi)$  on  $384 \times 480$  grid
- ▷ 2<sup>nd</sup>-order finite differences:  $\Delta x = 1/6 = 0.17$  &  $\Delta \Psi = \pi/24 = 0.13$
- ▷ zero on top/bottom, horizontally periodic & damping layers
- ▷ sparse matrix dimension = 367,872; Krylov subspace dimension = 10



# Potential Theory

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$$\mathcal{G}_{xx} + \mathcal{G}_{zz} + \mathcal{G} = \delta(\vec{x} - \vec{\xi})$$

## Helmholtz Free-Space Green's Function ( $\sigma = 1$ )

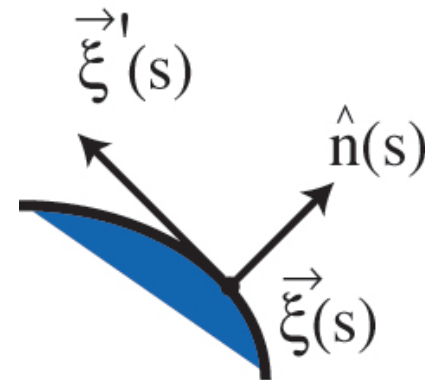
- ▷ radiating solution for a delta-function source at  $\vec{\xi}$ :  $\mathcal{G}(\vec{x} - \vec{\xi})$
- ▷ classical, time-harmonic scattering problem in electromagnetics/acoustics
  - ▷ delta-function response in 2D involves Hankel functions:  $J_0(r) \pm i Y_0(r)$
  - ▷ sign choice determined by far-field radiation condition (implied by time-harmonic)

## Boundary Integral Method

- ▷  $\mu(s)$ , weighted surface distribution of Green's functions
- ▷  $\vec{\xi}(s)$ , parametrization of surface boundary (clockwise)

$$\psi(\vec{x}) = -\mathcal{A} \int_{\mathcal{S}} \mu(s) 2 \frac{\partial \mathcal{G}}{\partial n}(\vec{x} - \vec{\xi}(s)) ds$$

- ▷ need topographic Green's function  $\mathcal{G}(\vec{x} - \vec{\xi})$  & weights  $\mu(s)$





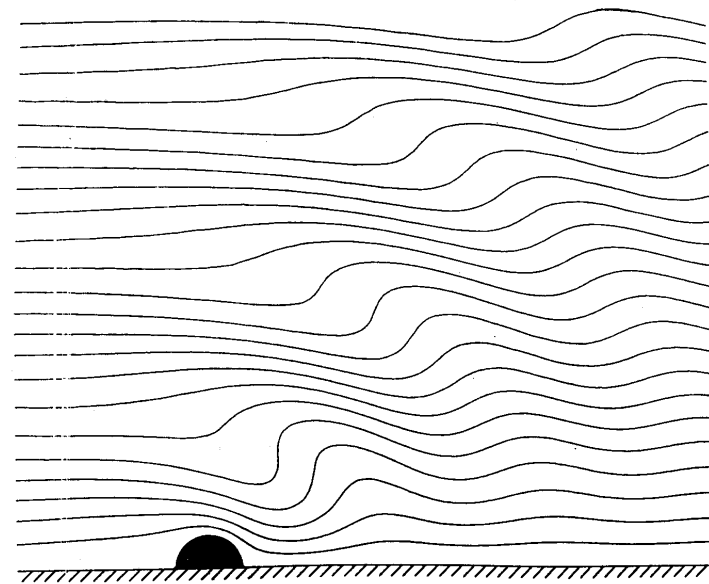
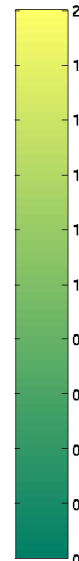
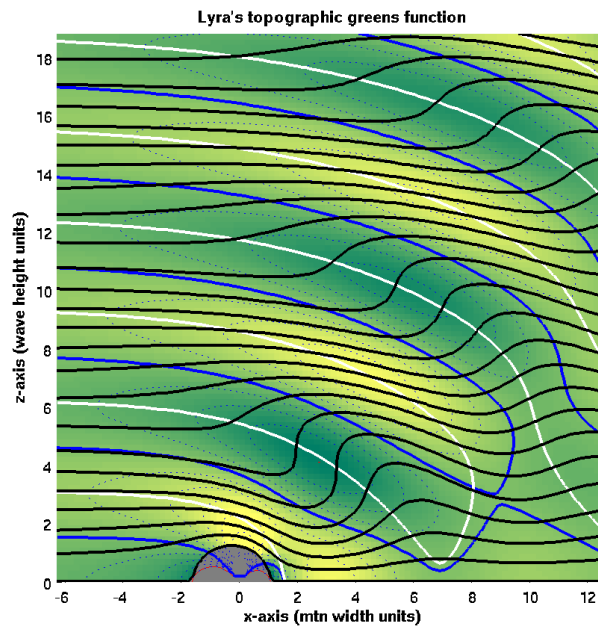
# Lyra's Topographic Green's Function

## Delta-Function Topography (linear theory)

- ▷ from Lyra 1940 & 1943 (via Alaka 1960) for  $\sigma = 1$  as Bessel series

$$\mathcal{G}_z(r, \theta) = \frac{1}{2} Y_1(r) \sin \theta + \frac{1}{\pi} \sum_1^{\infty} \frac{4n}{4n^2 - 1} J_{2n}(r) \sin 2n\theta$$

- ▷ Lyra's critical overturning solution:  $\Psi = z + 4.06 \mathcal{G}_z(r, \theta)$



Miles/Huppert 1968

- ▷ left/right asymmetric Greens function: waves must be downstream (Miles/Huppert 1968)

# Fredholm Integral Equation of Second-Kind

---

## Singular Integral Representation

- ▷ Plemelj formula for surface values,  $\vec{x}_s$

$$\psi(\vec{x}_s) = -\mathcal{A} \mu(\vec{x}_s) - \mathcal{A} \int_{\mathcal{S}} \mu(s) \, 2 \frac{\partial \mathcal{G}}{\partial n}(\vec{x}_s - \vec{\xi}(s)) \, ds$$

- ▷ surface boundary condition  $\rightarrow$  second-kind integral equation for  $\mu(\vec{x}_s)$

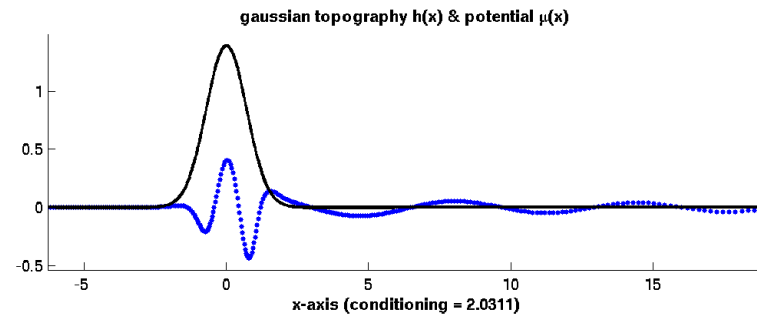
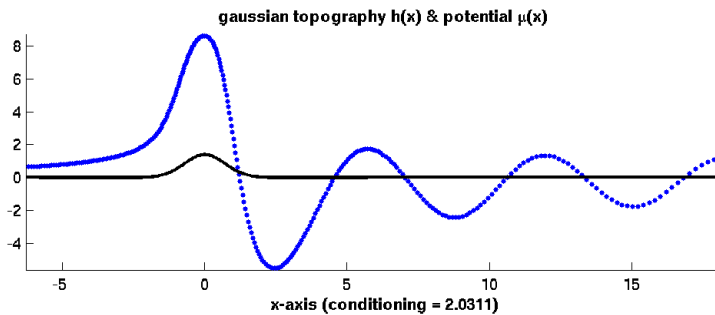
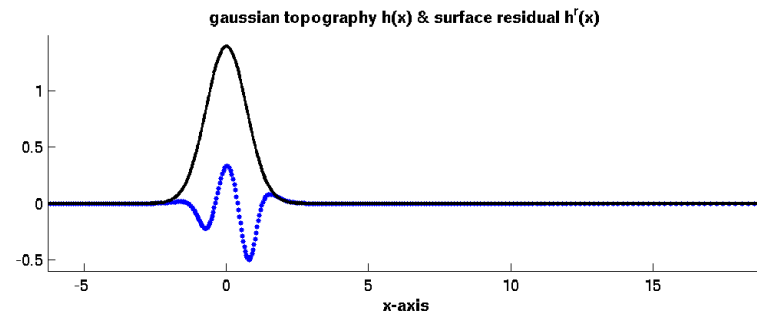
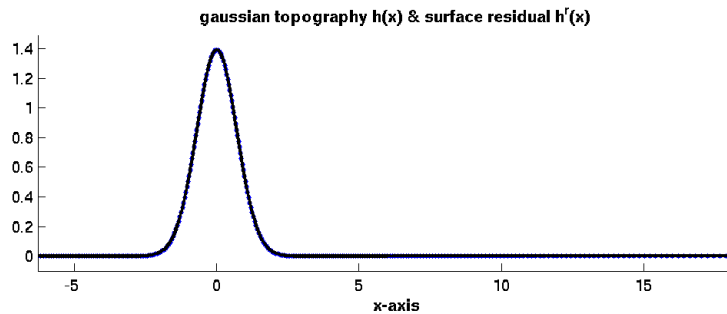
$$\mu(\vec{x}_s) + \int_{\mathcal{S}} \mu(s) \, 2 \frac{\partial \mathcal{G}}{\partial n}(\vec{x}_s - \vec{\xi}(s)) \, ds = h(\vec{x}_s)$$

- ▷ kernel function is continuous at  $\vec{x}_s = \vec{\xi}(s) \rightarrow$  curvature contribution
- ▷ discretized quadrature gives diagonally-dominant matrix  $\rightarrow$  well-conditioned inversion
- ▷ amplitude parameter,  $\mathcal{A}$ , enters through surface parametrization:  $\vec{\xi}(s) = \begin{pmatrix} x(s) \\ \mathcal{A}h(x(s)) \end{pmatrix}$
- ▷ small  $\mathcal{A}$  limit:  $\mu(\vec{x}_s) \rightarrow h(\vec{x}_s)$
- ▷ nonhydrostatic parameter,  $\sigma$ , handled by rescaling in  $x$  (singular as  $\sigma \rightarrow 0$ )

# Large Amplitude Solutions

## Slow Decay

- ▷ boundary integral method limited by downstream wake in  $\mu(x)$



- ▷ use Lyra's analytical solution as first guess

$$\psi(\vec{x}) = \Lambda \mathcal{G}_z(\vec{x}) - \mathcal{A} \int_{\mathcal{S}} \mu(s) 2 \frac{\partial \mathcal{G}}{\partial n}(\vec{x} - \vec{\xi}(s)) ds$$

- ▷ accurate computation based on surface residual:  $h^r(x) = h(x) + \Lambda \mathcal{G}_z(x, h(x))$

- ▷  $\Lambda$  obtained by good guesswork (4.06 for critical overturning)

# Long 1955: Theory & Experiment

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$$\sigma^2 \psi_{xx} + \psi_{zz} + \psi = 0$$

## Finite Amplitude Topography

- ▷ on streamline boundaries:  $\psi = Ah(x) + \psi(x, Ah(x)) = \text{constant}$

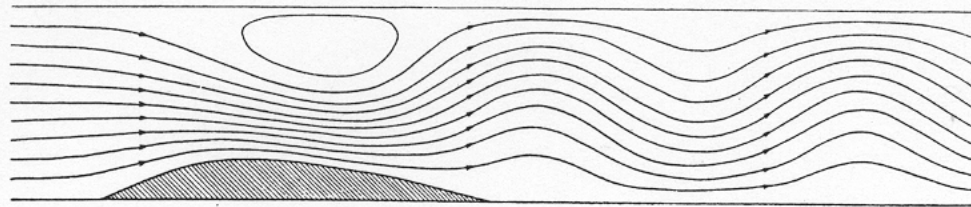
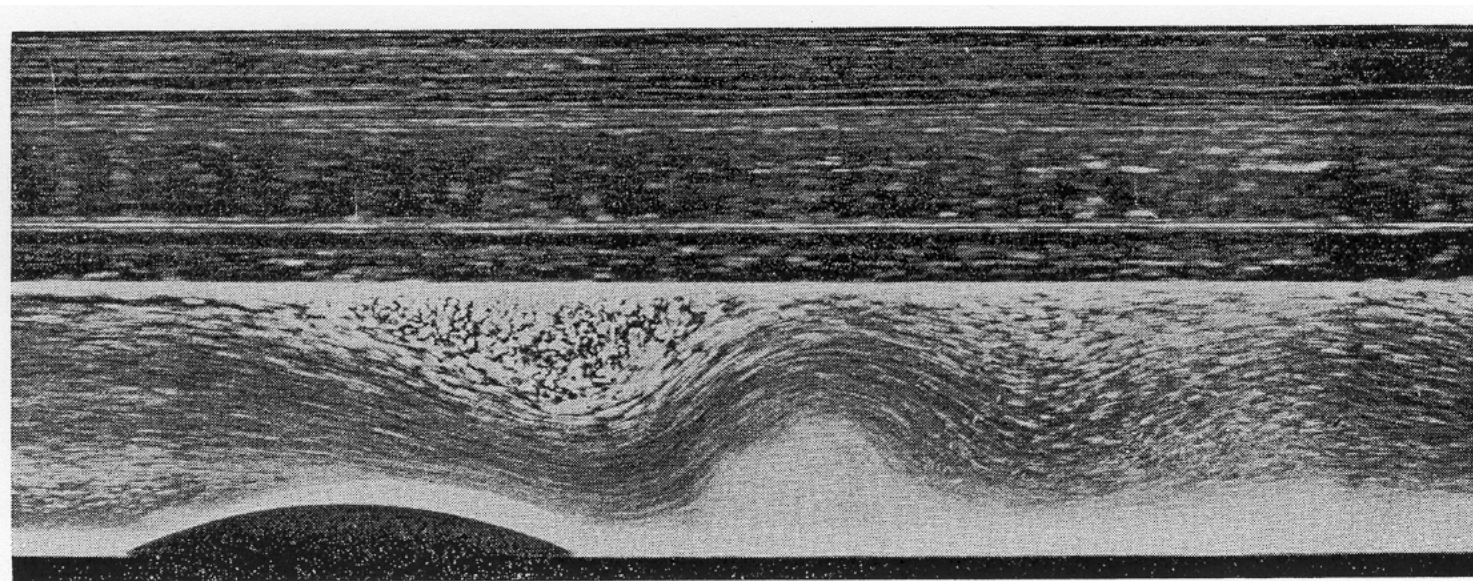


Fig. 8. Observed and calculated flow over an obstacle. Theoretical:  $F_1 = .200$ ,  $\beta = 1.0$ ,  $\alpha = .32$ . Experimental:  $F_1 = .204$ ,  $\beta = .200$ ,  $\alpha = .86$ .

Long 1953