# Plane partitions and tilings Integrable Models

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#### Introduction

The 6 vertex model Questions Non intersecting lattice paths Symmetries of plane partitions Determinant evaluation

Partition	Plane partition
13	28
	4 3 3 2
• • •	3321
• • •	2 1 1 1
••	1 1

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#### Introduction

The 6 vertex model Questions Non intersecting lattice paths Symmetries of plane partitions Determinant evaluation

## Introduction





#### Introduction

The 6 vertex model Questions Non intersecting lattice paths Symmetries of plane partitions Determinant evaluation

# Introduction

- Plane partitions are another integrable model.
- Can be identified with (a special case of) the 6 vertex model.
- Plane partitions=rhombus tilings of a hexagon.

## Plane partitions $\rightarrow$ 6 vertex model?

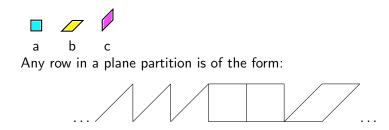


There are three types of blocks/tiles:



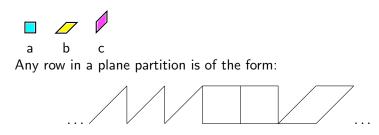
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## Plane partitions $\rightarrow$ 6 vertex model?



which is ... c c c a a b ...

Plane partitions  $\rightarrow$  6 vertex model?



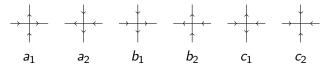
which is ... c c c a a b ...

A plane partition configuration is entirely determined by the presence of horizontal lines.

## Plane partitions $\rightarrow$ 6 vertex model?



Recall the 6 vertex model:

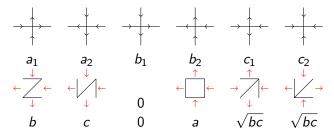


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## Plane partitions $\rightarrow$ 6 vertex model?



a b c Recall the 6 vertex model:



Plane partitions  $\rightarrow$  6 vertex model?

• We see that this is actually a **five** vertex model.

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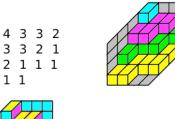
Plane partitions  $\rightarrow$  6 vertex model?

- We see that this is actually a five vertex model.
- We cannot go from here to Alternating Sign Matrices, as there are different numbers of tiles in different rows.

Plane partitions  $\rightarrow$  6 vertex model?

- We see that this is actually a five vertex model.
- We cannot go from here to Alternating Sign Matrices, as there are different numbers of tiles in different rows.
- However, there are subclasses of plane partitions, one of which is conjectured to be in bijection with ASMs.

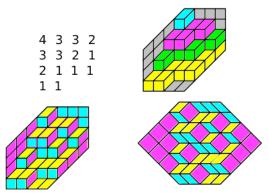
## Plane partitions $\rightarrow$ tilings





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## Plane partitions $\rightarrow$ tilings



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## 1. What are the number of tilings in a given hexagon?

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  - We could not make use of the connection to the 6 vertex model, what other strategies will this new interpretation give?

- 1. What are the number of tilings in a given hexagon?
  - We could not make use of the connection to the 6 vertex model, what other strategies will this new interpretation give?
- 2. Can we enumerate (and define!) 'symmetric' hexagons?

### Answer 1:

## Theorem

(MacMahon) The number of rhombus tilings of a hexagon with sides a, b, c, a, b, c is

$$\prod_{i=1}^{a} \prod_{j=1}^{b} \prod_{k=1}^{c} \frac{i+j+k-1}{i+j+k-2}$$

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#### Answer 2:

▶ 10 subcases of plane partitions;

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- 10 subcases of plane partitions;
- 9 cases have symmetries;

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- 8 of these have been enumerated;

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- 10 subcases of plane partitions;
- 9 cases have symmetries;
- 8 of these have been enumerated;
- 1 case has an 'almost proof';

Preliminaries Lindstrom's Theorem Proof Lindstrom's theorem: applicability? Size of plane partition

## Preliminaries

First, formalize the 'straightening' that occurred between the plane partition and hexagon.

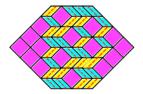
 $\mathbb{Z}_{(\alpha)}$  $\mathbb{Z}_{(\beta)}$  $\Leftrightarrow$  $\Leftrightarrow$  $\Leftrightarrow$ 

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## Non intersecting lattice paths

Consider the natural mapping between rhombus tilings of hexagons and non intersecting lattice paths

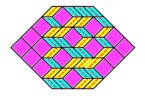


There are 4 paths from the bottom to the top of this hexagon through tiles of shape  $\alpha$  and  $\beta$ .

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## Non intersecting lattice paths



These non intersecting lattice paths **completely determine** the tiling of the hexagon of shape  $a \times b \times c!$ 

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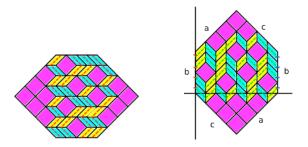
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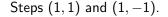
# **Goal:** Count the number of non intersecting paths on a hexagon of shape $a \times b \times c$ .

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## Non intersecting lattice paths

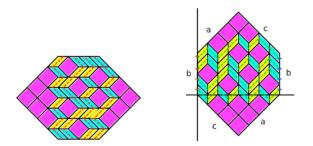




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## Non intersecting lattice paths

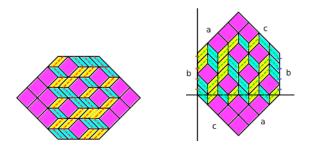


Here: how many ways to draw 4 non intersecting paths from (0,1), (0,2), (0,3), (0,4) to (8,1), (8,2), (8,3), (8,4)?

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## Non intersecting lattice paths



Here: how many ways to draw 4 non intersecting paths from (0,1), (0,2), (0,3), (0,4) to (8,1), (8,2), (8,3), (8,4)? General: How many ways of drawing *b* paths from  $(0,1), \ldots, (0,b)$  to  $(a+c,1), \ldots, (a+c,b)$ ?

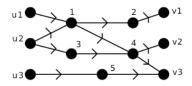
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Use the Lindstrom, Gessel-Viennot theorem that gives a method for finding non intersecting paths between two sets of vertices in a digraph through a determinant of all paths between two sets of vertices.

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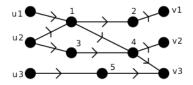
D acyclic digraph



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- D acyclic digraph
- k-vertex is k tuple of vertices;

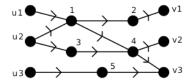


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- D acyclic digraph
- k-vertex is k tuple of vertices;

▶ 
$$\mathbf{u} = (u_1, \ldots, u_k), \mathbf{v} = (v_1, \ldots, v_k)$$
 k-vertices



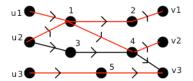
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• k-path 
$$\mathbf{A} = (A_1, A_2, \dots, A_k)$$
 (where  $A_i$  is a path from  $u_i$  to  $v_i$ )

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 $\mathbf{A}^* := \big(\{u1, 1, 2, v1\}, \{u2, 1, 4, v2\}, \{u3, 5, v3\}\big)$ 

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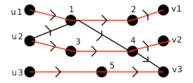
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• *k*-path 
$$\mathbf{A} = (A_1, A_2, \dots, A_k)$$



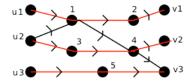
$$\mathbf{A}^{**} = (\{u1, 1, 2, v1\}, \{u2, 3, 4, v2\}, \{u3, 5, v3\})$$

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• *k*-path 
$$\mathbf{A} = (A_1, A_2, \dots, A_k)$$



$$\mathbf{A}^{**} = (\{u1, 1, 2, v1\}, \{u2, 3, 4, v2\}, \{u3, 5, v3\})$$

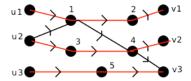
**A**<sup>\*\*</sup> is *disjoint* (non intersecting).

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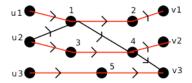
Give weight to every edge;



For simplicity, in this example each edge gets weight 1.

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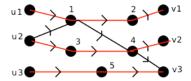
- Give weight to every edge;
- Path weight:=product of edge weights;



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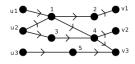
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- Give weight to every edge;
- Path weight:=product of edge weights;
- k-path weight:=product of path weights



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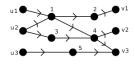
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 $P(u_i, v_j)$ :=the set of paths from  $u_i$  to  $v_j$  $P_w(u_i, v_j)$ := sum of their weights.

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 $P(u_i, v_j)$ :=the set of paths from  $u_i$  to  $v_j$  $P_w(u_i, v_j)$ := sum of their weights.

i,j	$P(u_i, v_j)$	$P_w(u_i, v_j)$	i,j	$P(u_i, v_j)$	$P_w(u_i, v_j)$
1,1	${u1, 1, 2, v1}$	1	2,3	$\{u2, 1, 4, v3\},\$	2
				$\{u2, 3, 4, v3\}$	
1,2	${u1, 1, 4, v2}$	1	3,1	Ø	0
1,3	${u1, 1, 4, v3}$	1	3,2	Ø	0
2,1	$\{u2, 1, 2, v1\}$	1	3,3	$\{u3, 5, v3\}$	1
2,2	${u2, 1, 4, v2},$	2			
	$\{u2, 3, 4, v2\}$				

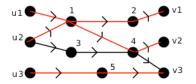
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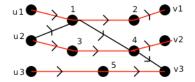
- P(u, v):= the set of k-paths from u to v;
- $P_w(\mathbf{u}, \mathbf{v}) :=$  sum of their weights.

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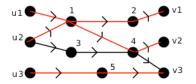


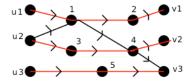


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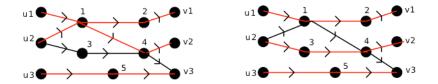


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**Example:**  $P(u, v) = \{A^*, A^{**}\},\$ 

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**Example:**  $P(u, v) = \{A^*, A^{**}\}, P_w(u, v) = 2$ .

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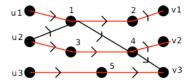
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- $N(\mathbf{u}, \mathbf{v})$ := subset of  $P(\mathbf{u}, \mathbf{v})$ , disjoint paths ;
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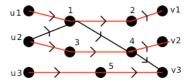
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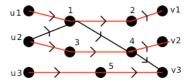


Example:  $N(\mathbf{u}, \mathbf{v}) = {\mathbf{A}^{**}},$ 

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- ▶  $N(\mathbf{u}, \mathbf{v})$ := subset of  $P(\mathbf{u}, \mathbf{v})$ , disjoint paths ;
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Example:  $N(\mathbf{u}, \mathbf{v}) = \{\mathbf{A}^{**}\}, N_w(\mathbf{u}, \mathbf{v}) = 1$ .

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## Theorem (Lindstrom)

$$\sum_{\pi \in S_k} (sgn(\pi)) N(\mathbf{u}, \pi(\mathbf{v})) = \det_{1 \le i,j \le k} P(u_i, v_j)$$

 $(\pi(\mathbf{v}) \text{ is the } k \text{-vertex } (v_{\pi(1)} \dots, v_{\pi(k)}))$ 

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$$\sum_{\pi \in S_k} (sgn(\pi)) N(\mathbf{u}, \pi(\mathbf{v})) = \det_{1 \le i,j \le k} P(u_i, v_j)$$

# Example $N(\mathbf{u}, \pi(\mathbf{v}))=1$ when $\pi = (123) \Rightarrow LHS=1$ .

$$RHS = \begin{vmatrix} P(u_1, v_1) & P(u_1, v_2) & P(u_1, v_3) \\ P(u_2, v_1) & P(u_2, v_2) & P(u_2, v_3) \\ P(u_3, v_1) & P(u_3, v_2) & P(u_3, v_3) \end{vmatrix} = \begin{vmatrix} 1 & 1 & 1 \\ 1 & 2 & 2 \\ \emptyset & \emptyset & 1 \end{vmatrix} = 1$$

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## Proof (sketch)

**Key:** nondisjoint *k*-paths will be 'cancelled out' through  $sgn(\pi)$ .

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## Proof (sketch)

**Key:** nondisjoint *k*-paths will be 'cancelled out' through  $sgn(\pi)$ . **Assertion:** 

(1) 
$$\sum_{\pi \in S_k} (sgn(\pi)) N(\mathbf{u}, \pi(\mathbf{v})) = \sum_{\pi \in S_k} (sgn(\pi)) P(\mathbf{u}, \pi(\mathbf{v}))$$

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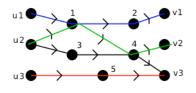
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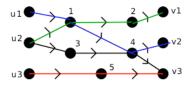
Consider a nondisjoint k-path:  $A^*$ :



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### Proof continued

Create new paths at first point of intersection:  $B^*$ :



 $A^* \in P(\mathbf{u}, (123)\mathbf{v}), \ sgn(123) = 1;$  $B^* \in P(\mathbf{u}, (213)\mathbf{v}), \ sgn(213) = -1.$ 

This canceling reduces to give: **Assertion:** 

(1) 
$$\sum_{\pi \in S_k} (sgn(\pi))N(\mathbf{u}, \pi(\mathbf{v})) = \sum_{\pi \in S_k} (sgn(\pi))P(\mathbf{u}, \pi(\mathbf{v}))$$

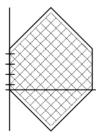
And RHS of (1) reduces to give original determinant.

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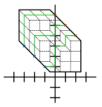
## Applicability?

Can be used for non intersecting lattice paths on rhombus tilings of hexagons: all steps are (1,1) and (1,-1) with edges having left to right orientation:



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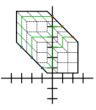
- ▶ If a = c: this is the number of such free Dyck paths between (0,0) and (0,2*a*),  $\binom{2m}{m}$ .
- Else, rotate again:



Starting vertices:  $\mathbf{u} = (-1, 1), (-2, 2), \dots, (-b, b)$ Ending vertices:  $\mathbf{v} = (-1 + a, 1 + c), \dots, (-b + a, b + c).$ 

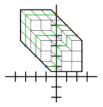
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In general:



we are considering paths from (-i, i) to (-i + a, -i + c). When i = 0, the number of such paths from (0, 0) to (a, c) is  $\binom{a+c}{c}$ 

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Number non intersecting paths from side *b* to side *b*:

$$\det_{1\leq i,j\leq b}\left(\binom{a+c}{a-i+j}\right).$$

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#### Where are we?

This completes our goal of counting the number of non intersecting paths in a rhombus tiling of a hexagon of size a × b × c.

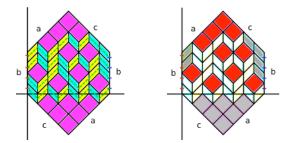
Preliminaries Lindstrom's Theorem Proof Lindstrom's theorem: applicability? Size of plane partition

#### Where are we?

- This completes our goal of counting the number of non intersecting paths in a rhombus tiling of a hexagon of size a × b × c.
- ► If does not count the number of PPs of size n inside a box with sides a × b × c.

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#### Count number PPs in hexagon according to size *n* of PP?



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#### $\mathsf{Map:}\ (1,1) \to (1,0);\ (1,-1) \to (0,1).$

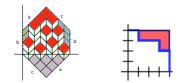


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#### $\mathsf{Map:}\ (1,1) \to (1,0);\ (1,-1) \to (0,1).$

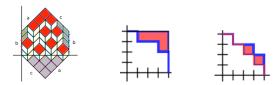


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Preliminaries Lindstrom's Theorem Proof Lindstrom's theorem: applicability? Size of plane partition

#### $\mathsf{Map:}\ (1,1) \to (1,0);\ (1,-1) \to (0,1).$



These are the first two paths in the example above. We wish to count the are highlighted in pink.

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**Goal:** Count the number of *b* non intersecting paths from (0, b) to (a, b - c) according to the area between the paths.

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$$egin{aligned} & {\it GF}({\it paths}(0,m) 
ightarrow (n,0); q^{{\it area}}) = \left[egin{aligned} m+n \ n \end{array}
ight]_q \ & = rac{1-q)(1-q^2)\ldots(1-q^{m+n})}{(1-q)\ldots(1-q^n)(1-q)\ldots(1-q^m)}. \end{aligned}$$

 $[q^n]F(q)$ := no. plane partitions of size *n* in a hexagon of size  $a \times b \times c$ .

$$F(q) = \det_{1 \le i,j \le b} \left( q^{j(j-1)} \left[ \begin{array}{c} a+c \\ a-i+j \end{array} \right]_q \right)$$

(Case 1: unrestricted)

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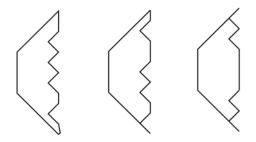
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For small n this is can be manageable, but extra determinant evaluation techniques such as *condensation* or *LU factorization* should be employed.

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Symmetric Cyclic symmetric Complementary symmetric Summary

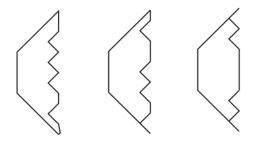
### Symmetric PPs: invariant under reflection in vertical axis



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Symmetric Cyclic symmetric Complementary symmetric Summary

## Symmetric PPs: invariant under reflection in vertical axis



Counted by:

$$\det_{1\leq i,j\leq n}\left(\binom{2m+1}{m-i+j}+\binom{2m+1}{m-i-j+1}\right).$$

(Case 2)

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Symmetric Cyclic symmetric Complementary symmetric Summary

# Cyclic symmetric PPs: invariant under rotation of 120 degrees



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Symmetric Cyclic symmetric Complementary symmetric Summary

# Cyclic symmetric PPs: invariant under rotation of 120 degrees



Counted by:

$$\det_{0 \le i,j, \le n-1} \left( \delta_{i,j} + \binom{i+j}{i} \right)$$

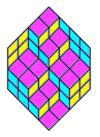
 $\delta_{i,j}$ : sum of the principle minors. (Case 3)

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Symmetric Cyclic symmetric Complementary symmetric Summary

# Self complementary PPs: invariant under rotation by 180 degrees

Rotate by 180 degrees:

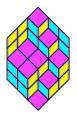


Symmetric functions are used in enumeration. (Case 5)

Symmetric Cyclic symmetric Complementary symmetric Summary

# Transpose complementary PPs: the complement is equal to the mirror image

Reflect in horizontal axis:

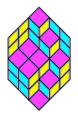


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Symmetric Cyclic symmetric Complementary symmetric Summary

# Transpose complementary PPs: the complement is equal to the mirror image

Reflect in horizontal axis:



Counted by:

 $\det_{0 \le i,j \le n-1}(C_{i+j+a})$ 

Where  $C_i$  is the  $i^{th}$  Catalan number. (Case 6)

Symmetric Cyclic symmetric Complementary symmetric Summary

Case	S.	CS	SC.	ТС	Name
1					no restriction
2	x				SPP
3		x			CSPP
4	x	x			TSPP(*)
5			x		SCPP
6				х	ТСРР
7	x		x		SSCPP
8		x		х	CSTCPP
9		x	x		CSSCPP
10	x	х	x		TSSCPP

(\*)-'almost proof'

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Symmetric Cyclic symmetric Complementary symmetric Summary

## A Theorem

**Theorem:** The number of TSSCPP os size  $2n \times 2n \times 2n =$  the number of ASMs of size  $n \times n$  (Zeilberger, then Kuperberg)

Sophie Burrill Plane partitions and tilings

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Symmetric Cyclic symmetric Complementary symmetric Summary

# A Conjecture

**Conjecture:** There exists a simple, natural bijection between ASMs and TSSCPPs.

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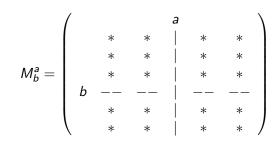
#### Thank you!

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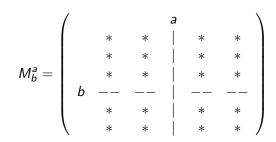
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Symmetric Cyclic symmetric Complementary symmetric Summary



Symmetric Cyclic symmetric Complementary symmetric Summary



$$\det M = \frac{\det M_1^1 \det M_n^n - \det M_n^1 \det M_1^n}{\det M_{1,n}^{1,n}}$$

Symmetric Cyclic symmetric Complementary symmetric Summary

$$\blacktriangleright A = \left( \binom{a+c}{a-i+j} \right)_{1 \le i,j \le b}$$

Symmetric Cyclic symmetric Complementary symmetric Summary

► 
$$A = \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right)_{1 \le i,j \le b}$$
  
►  $\det A_1^1 = \det_{2 \le i,j \le n} \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right) = \det_{1 \le i,j \le n-1} \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right) = A_n^n$ 

Symmetric Cyclic symmetric Complementary symmetric Summary

$$A = \left( \begin{pmatrix} a+c\\ a-i+j \end{pmatrix} \right)_{1 \le i,j \le b}$$

$$det A_1^1 = det_{2 \le i,j \le n} \left( \begin{pmatrix} a+c\\ a-i+j \end{pmatrix} \right) = det_{1 \le i,j \le n-1} \left( \begin{pmatrix} a+c\\ a-i+j \end{pmatrix} \right) = A_n^n$$

$$det A_1^n = A_n^1 = det_{2 \le i, \le n, 1 \le j \le n} \left( \begin{pmatrix} a+c\\ a-i+j \end{pmatrix} \right) = det_{1 \le i, \le n-1} \left( \begin{pmatrix} a+c\\ a-1-i+j \end{pmatrix} \right)$$

Symmetric Cyclic symmetric Complementary symmetric Summary

$$A = \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right)_{1 \le i,j \le b}$$

$$det A_1^1 = det_{2 \le i,j \le n} \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right) = det_{1 \le i,j \le n-1} \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right) = A_n^n$$

$$det A_1^n = A_n^1 = det_{2 \le i, \le n, 1 \le j \le n} \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right) = det_{1 \le i, \le n-1} \left( \begin{pmatrix} a+c \\ a-1-i+j \end{pmatrix} \right)$$

$$det A = det_{1 \le i,j \le b} \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right) = \prod_{i=1}^a \prod_{j=1}^b \prod_{k=1}^c \frac{i+j+k-1}{i+j+k-2}$$

(MacMahon's theorem)

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Symmetric Cyclic symmetric Complementary symmetric Summary

## LU factorization

$$\blacktriangleright A = \left( \binom{a+c}{a-i+j} \right)_{1 \le i,j \le b}.$$

Sophie Burrill Plane partitions and tilings

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LU factorization

Symmetric Cyclic symmetric Complementary symmetric Summary

► 
$$A = \left( \begin{pmatrix} a+c \\ a-i+j \end{pmatrix} \right)_{1 \le i,j \le b}$$
. Solve through *L*.*U* factorization?

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Symmetric Cyclic symmetric Complementary symmetric Summary

## LU factorization

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Symmetric Cyclic symmetric Complementary symmetric Summary

## LU factorization

A = ((<sup>a+c</sup><sub>a-i+j</sub>))<sub>1≤i,j≤b</sub>. Solve through L.U factorization?
Try for small n = {1, 2, 3, 4, ...} to solve M(n).U(n) = L(n)
Guess! Easy?

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Symmetric Cyclic symmetric Complementary symmetric Summary

#### Identification of factors

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Symmetric Cyclic symmetric Complementary symmetric Summary

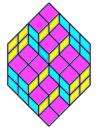
- Identification of factors
- Guessing (computer)

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### Next step?

Symmetric Cyclic symmetric Complementary symmetric Summary

Employ symmetric functions!



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