

## SPECTRUM OF RESONANT INSTABILITIES

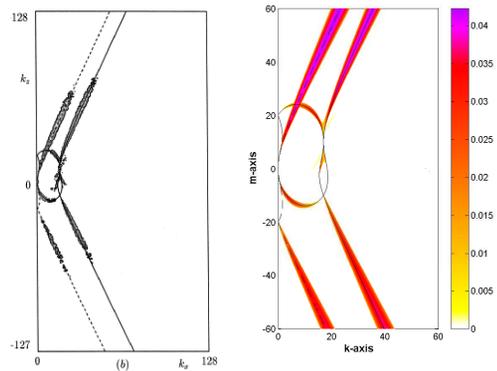


FIGURE 1: Spectrum of Resonant Instabilities: DNS (Lin2000) vs Floquet Unravalled Spectrum (djm & ybb)

## EQUATIONS FOR A STRATIFIED FLUID

2D incompressible Euler with Boussinesq Buoyancy & Constant Stratification

$$\frac{D\eta}{Dt} = -b_x \quad ; \quad \frac{Db}{Dt} = -w \quad ; \quad \nabla \cdot \mathbf{u} = 0$$

- Buoyancy  $b(x, z, t)$  and vorticity  $\eta(x, z, t)$
- 2D velocity ( $x, z$  components):  $\mathbf{u} = (u, w)$   
Streamfunction  $\psi(x, z, t)$ :  $u = \psi_z, \quad w = -\psi_x$
- Advection from Jacobian:

$$J(f, \psi) = \begin{vmatrix} f_x & \psi_x \\ f_z & \psi_z \end{vmatrix} = uf_x + wf_z$$

- Vorticity:  $\eta = \psi_{zz} + \delta^2 \psi_{xx}$ ;  
Hydrostatic limit:  $\delta \rightarrow 0$ ; Laplacian:  $\delta \rightarrow 1$

### Streamfunction Formulation

$$\begin{aligned} \eta_t + b_x + J(\eta, \psi) &= 0 \\ b_t - \psi_x + J(b, \psi) &= 0 \\ \psi_{zz} + \delta^2 \psi_{xx} &= \eta \end{aligned}$$

### Exact Nonlinear Wave Solutions

$$\begin{pmatrix} \psi \\ b \end{pmatrix} = \begin{pmatrix} -\Omega \\ K \end{pmatrix} 2\epsilon \sin(Kx + Mz - \Omega t)$$

- Primary wavenumbers:  $(K, M)$
- Linear dispersion relation:  $\Omega^2(K, M) = \frac{K^2}{M^2 + \delta^2 K^2}$

### Linearized Equations

$$\begin{aligned} \tilde{\eta}_t + \tilde{b}_x - 2\epsilon J(\Omega \tilde{\eta} + (K^2/\Omega) \tilde{\psi}, \sin(Kx + Mz - \Omega t)) &= 0 \\ \tilde{b}_t - \tilde{\psi}_x - 2\epsilon J(\Omega \tilde{b} + K \tilde{\psi}, \sin(Kx + Mz - \Omega t)) &= 0 \end{aligned}$$

- Goal: to characterize the linear instabilities of a primary wave

- Linearize w.r.t the nonlinear wave

$$\begin{pmatrix} \psi \\ b \end{pmatrix} = \begin{pmatrix} -\Omega \\ K \end{pmatrix} 2\epsilon \sin(Kx + Mz - \Omega t) + \begin{pmatrix} \tilde{\psi}(x, z, t) \\ \tilde{b}(x, z, t) \end{pmatrix}$$

- Linear PDEs with periodic, non-constant coefficients
- A problem for Floquet Theory

## INSTABILITIES VIA FLOQUET THEORY

Mathieu Equation

$$\ddot{u} + (\alpha + \epsilon \sin t)u = 0$$

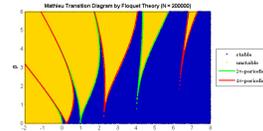


FIGURE 2: Spectrum of Mathieu Instabilities

- Floquet theory:

$$\mathbf{u}(t) = e^{i\omega t} \left\{ \sum_{-\infty}^{+\infty} \vec{v}_n e^{int} \right\} = \text{exponential part} \times \text{co-periodic part}$$

- $\omega(\alpha; \epsilon)$ , Floquet exponent with  $\text{Im } \omega > 0 \rightarrow$  instability.

### Floquet Fourier Analysis for PDEs

- Product of exponential & co-periodic Fourier series

$$\begin{pmatrix} \tilde{\psi} \\ \tilde{b} \end{pmatrix} = e^{i(kx+mz-\omega t)} \left\{ \sum_{-\infty}^{+\infty} \vec{v}_n e^{in(Kx+Mz-\Omega t)} \right\}$$

- Secondary/perturbed wavenumbers:  $(k, m)$
- Floquet exponent  $\text{Im } \omega(k, m; \epsilon) > 0 \rightarrow$  instability
- Hill's infinite matrix & generalized eigenvalue problem

$$\begin{bmatrix} \dots & \dots & \dots \\ \dots & S_0 & \epsilon M_1 \\ \dots & \epsilon M_0 & S_1 & \dots \\ \dots & \dots & \dots & \dots \end{bmatrix} - \omega \begin{bmatrix} \dots & \dots & \dots \\ \dots & \Lambda_0 & \dots \\ \dots & \dots & \Lambda_1 & \dots \\ \dots & \dots & \dots & \dots \end{bmatrix}$$

- $2 \times 2$  real blocks:  $\mathbf{M}_n(k, m)$ ;  $\mathbf{S}_n(k, m)$  symmetric;  $\mathbf{\Lambda}_n(k, m)$  diagonal
- Truncate  $-N \leq n \leq N$  & compute  $4N + 2$  eigenvalues  $\{\omega(k, m; \epsilon)\}$

## UNRAVELLING THE SPECTRUM

- Choose primary wavenumbers  $(K, M) = (1, 1)$ ;  
finite wave amplitude:  $\epsilon = 0.1$ ; hydrostatic:  $\delta = 0$

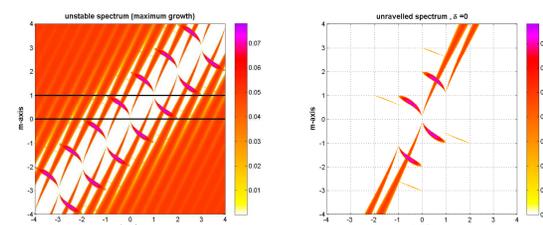


FIGURE 3: Raw Floquet spectrum vs unravalled Floquet spectrum

- Artificial periodicity due to index shifts  $\rightarrow$  multiple counting

$$\begin{pmatrix} \tilde{\psi} \\ \tilde{b} \end{pmatrix} = e^{i((k+q)x+(m+q)z-(\omega+\Omega q)t)} \left\{ \sum_{-\infty}^{+\infty} \vec{v}_{n+q} e^{in(x+z-\Omega t)} \right\}$$

- Resolution: to associate  $\omega(k, m)$  with the instabilities given by its corresponding physical wave resonance.

- **QUESTION 1.** Which  $\omega$ 's from computation correspond to the instabilities given physical wave resonance theory?

## PERTURBATION ANALYSIS

- Complex eigenvalues/instabilities arise from multiple root perturbation

- Resonance trace:  $\omega(k, m) + n\omega(K, M) = \omega(k + nK, m + nM)$   
 $\rightarrow$  where multiple roots live on.

### Triad ( $n = 1$ ) and Quartet ( $n = 2$ ) Resonance

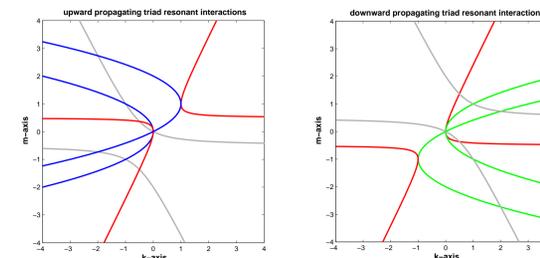


FIGURE 4: Triad resonant traces identified by corresponding resonance (color)

- Upward and downward  $\vec{c}_g(k, m)$ ; Active and inert resonant traces
- **ANSWER 1.** By small  $\epsilon$  perturbation,  $\omega^\pm(k, m; \epsilon) \sim \pm\Omega(k, m)$

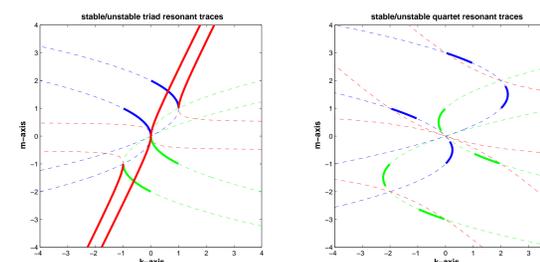


FIGURE 5: Unstable triad/quartet resonant traces via perturbation

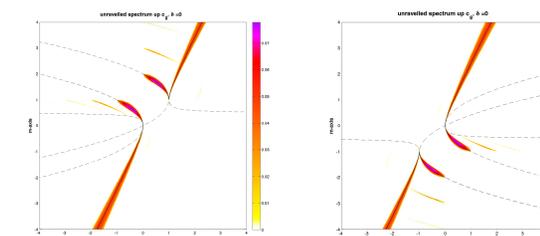


FIGURE 6: Spectrum  $\text{Im } \omega^\pm$  vs frequency  $\text{Re } \omega^-$

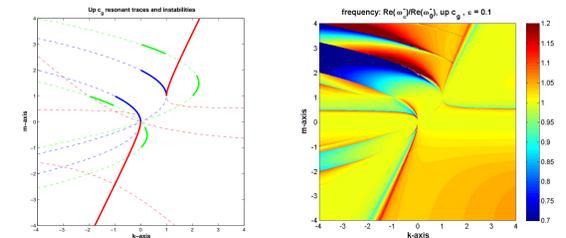


FIGURE 7: Spectrum  $\text{Im } \omega^\pm$  vs frequency  $\text{Re } \omega^-$

## NONHYDROSTATIC LIMIT SPECTRUM

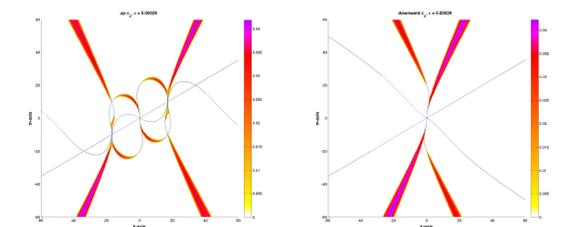


FIGURE 8: Spectrum vs frequency for the upward/downward  $\vec{c}_g$  with  $\delta = 1$

- Laplacian:  $\delta = 1$ ; Primary wavenumbers:  $(K, M) = (17, 10)$ .
- Spectrum of resonant instabilities ( $\text{Im } \omega(k, m)$ ) and frequency of resonant instabilities ( $\text{Re } \omega(k, m)$ )
- Resonant traces correspond to jumps and branch-cuts in the  $\text{Re } \omega(k, m)$  figure

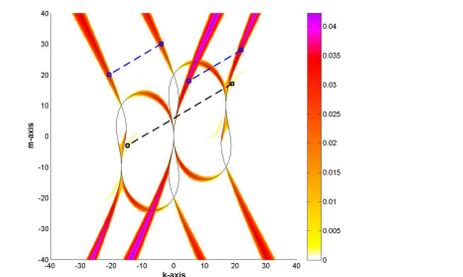


FIGURE 9: Correspondence between resonating wave modes

## FUTURE WORK

- Using Floquet spectral theory, to show in frequency plot ( $\text{Re } \omega$ ), resonant traces are continuous along instabilities and have branch-cuts along stabilities.
- To fully understand the wave resonance structure in the unravalled spectrum.

## References

- [1] D. J. Muraki, *Unravelling the Resonant Instabilities of a Wave in a Stratified Fluid*, 2007
- [2] P. G. Drazin, *On the Instability of an Internal Gravity Wave*, Proceedings of the Royal Society of London. Series A, Mathematical and Physical Sciences, Vol. **356**, No. 1686 (1977), 411-432