

Monochromatic Arithmetic Forests

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Definition 1. If $A = \{a_1 < a_2 < \dots < a_n\} \subset \mathbb{N}$, where \mathbb{N} is the set of positive integers, we say that the gap size of A is $\text{gs}(A) = \max\{a_{j+1} - a_j : 1 \leq j \leq n - 1\}$. (If $|A| = 1$, set $\text{gs}(A) = 1$.)

Definition 2. A subset X of \mathbb{N} is piecewise syndetic if for some fixed $d \geq 1$ there are arbitrarily large (finite) sets $A \subset X$ such that $\text{gs}(A) \leq d$.

Definition 3. A subset X of \mathbb{N} has property AP if there are arbitrarily large (finite) sets $A \subset X$ such that A is an arithmetic progression.

Fact 1. If $\mathbb{N} = X_1 \cup X_2 \cup \dots \cup X_n$ then some X_i is piecewise syndetic (and hence also has property AP). (The first proofs of Fact 1 appear in [2–4].) However, this result neither implies, nor is implied by, van der Waerden’s theorem on arithmetic progressions.

Fact 2. If $X \subset \mathbb{N}$ and X has positive upper density, then X has property AP (by Szemerédi’s theorem) but X need not be piecewise syndetic. (For an example, see [1].)

The finite version of Fact 1 is:

Theorem A. For all $r \geq 1$ and $f \in \mathbb{N}^{\mathbb{N}}$, there exists $n = n(f, r)$ such that whenever $[1, n]$ is r -colored, there is a monochromatic set A such that $|A| \geq f(\text{gs}(A))$. (Furthermore, $n(f, 1) = f(1) + 1$ and $n(f, r + 1) \leq (r + 1)f(n(f, r)) + 1$.)

There are applications of this result to the theory of locally finite semigroups, and in particular to Burnside’s problem for semigroups of matrices (see [6]).

The main result of this note is the following generalization of Theorem A:

Theorem A*. For all $r \geq 1$ and $f \in \mathbb{N}^{\mathbb{N}}$, there exists $n^* = n^*(f, r)$ such that whenever $P([1, n^*])$ (the set of all subsets of $[1, n^*]$) is r -colored, there exist $d \geq 1$ and monochromatic copies (all in the same color) of all rooted forests having $f(d)$ vertices. These monochromatic copies have the property that for all pairs of vertices x, y , if vertex y covers vertex x , then $|y| - |x| \leq d$. Also, if x, y belong to the same tree then $x \wedge y = x \cap y$; if x, y belong to different trees then $x \cap y = \emptyset$. (Furthermore, $n^*(f, 1) = f(1)$ and $n^*(f, r + 1) \leq n^*(f, r) \cdot f(n^*(f, r))$.)

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(The same remarks concerning $x \cap y$ apply to all of the following results.)

One can prove a similar variation of van der Waerden's theorem:

Theorem W*. For all $r \geq 1$ and $k \geq 1$, there exists $w^* = w^*(k, r)$ such that whenever $P([1, w^*])$ is r -colored, there exist $a \geq 1$ and $d \geq 1$ and monochromatic copies (all in the same color) of all rooted forests having k vertices. These monochromatic copies have the property that every vertex at level $i = 0, 1, \dots$ has size $a + id$.

The proof of Theorem W* uses van der Waerden's theorem and the finite Ramsey's theorem, in essentially the same way these were combined in the proof of Theorem 2 in [5]. The results that follow use the infinite Ramsey's theorem together with respectively Fact 1, van der Waerden's theorem, and the Erdős-Graham canonical van der Waerden's theorem.

Definition 4. An ω -tree of height n is a rooted tree in which every maximal chain has $n + 1$ vertices, and every non-maximal vertex has ω immediate successors. An ω -forest of height n is a union of ω pairwise disjoint ω -trees of height n .

Definition 5. An arithmetic forest in $[\mathbb{N}]^{<\omega}$ (the set of all finite subsets of \mathbb{N}) is a forest for which there exist $a \geq 1, d \geq 1$ such that all vertices at level i have size $a + id, i \geq 0$.

Theorem A.** Let $[\mathbb{N}]^{<\omega}$ be finitely colored. Then there exists a fixed $d \geq 1$ such that for every $n \geq 1$, there is a monochromatic ω -forest $F(n)$ of height n such that for all pairs of vertices x, y in $F(n)$, if y covers x then $|y| - |x| \leq d$.

Theorem W.** Let $[\mathbb{N}]^{<\omega}$ be finitely colored. Then for every $n \geq 1$ there exists a monochromatic arithmetic ω -forest $F(n)$ of height n .

Theorem C.** Let $[\mathbb{N}]^{<\omega}$ be ω -colored. Then for every $n \geq 1$ there exists an arithmetic ω -forest $F(n)$ of height n such that either $F(n)$ is monochromatic or there are distinct colors c_0, \dots, c_n such that all vertices at level i have color $c_i, 0 \leq i \leq n$.

Question. It was observed by J. Walker in [9] that if $k \geq 1$ and $\varepsilon > 0$ are given, then for sufficiently large n , if $S \subseteq P([1, n])$ and $|S| > \varepsilon |P([1, n])|$, S must contain an arithmetic chain of length k . Is it true that for sufficiently large n , if $S \subseteq P([1, n])$ and $|S| > \varepsilon |P([1, n])|$, S must contain arithmetic copies of all k -vertex rooted forests?

Remark. Some related results, and additional references, can be found in [8] and [7].

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