

# Common Transversals

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## Abstract

Given  $t$  families, each family consisting of  $s$  finite sets, we show that if the families “separate points” in a natural way, and if the union of all the sets in all the families contains more than  $(s+1)^t - s^{t-1} - 1$  elements, then a common transversal of the  $t$  families exists. In case each family is a covering family, the bound is  $s^t - s^{t-1}$ . Both of these bounds are best possible. This work extends recent work of Longyear [2].

## 1 Introduction and statement of results

Throughout this paper, the symbol  $\mathcal{F}$  will always denote a family of  $t$  families of sets, each of the  $t$  families consisting of  $s$  finite, but not necessarily distinct or nonempty, sets. The symbol  $\Omega$  will always denote the union of all of the sets contained in all of the  $t$  families. Thus  $\mathcal{F} = (F_1, F_2, \dots, F_t)$ , where for each  $j$ ,  $1 \leq j \leq t$ ,  $F_j = (F_j(1), F_j(2), \dots, F_j(s))$  is a family of  $s$  (finite, but not necessarily distinct or nonempty) sets, and  $\Omega = \bigcup \{F_j(i) : 1 \leq j \leq t, 1 \leq i \leq s\}$  (or more briefly,  $\Omega = \bigcup \mathcal{F}$ ). We always assume that  $\mathcal{F}$  *separates points* of  $\Omega$  in the following sense. Letting  $F_j(0) = \Omega \setminus \bigcup F_j$ ,  $1 \leq j \leq t$ , we require

$$\left| \bigcap \{F_j(a_j) : 1 \leq j \leq t\} \right| \leq 1$$

for every  $t$ -tuple  $(a_1, a_2, \dots, a_t)$ , where  $0 \leq a_j \leq s$ ,  $1 \leq j \leq t$ . Note that this immediately implies  $|\Omega| \leq (s+1)^t - 1$  (since  $|\bigcap \{F_j(0) : 1 \leq j \leq t\}| = 0$ ), and that in the case where each  $F_j$  covers  $\Omega$  (so that  $F_j(0) = \emptyset$ ) we have  $|\Omega| \leq s^t$ .

Recall that the set  $T$  is a *transversal* (sometimes called a *system of distinct representatives* or SDR) of the family  $F_j$  if there is a bijection  $\varphi : T \mapsto \{1, 2, \dots, s\}$  such that  $x \in F_j(\varphi(x))$  for all  $x \in T$ . The set  $T$  is a *common transversal* of  $F_1, F_2, \dots, F_t$  if  $T$  is simultaneously a transversal of each  $F_j$ ,  $1 \leq j \leq t$ .

In this paper the following results are proved, which extend recent results of Judith Q. Longyear [2]. Longyear proved, among other things, Theorem 1(b) below in the case where each family  $F_j$  is assumed to consist of mutually disjoint sets. Theorem 1(b) can be obtained as a corollary to her result. We give an alternative proof.

**Theorem 1.** *Let  $\mathcal{F}$  and  $\Omega$  be as in the first paragraph of this paper, and assume further that each family  $F_j$  covers  $\Omega$ , that is,  $\bigcup F_j = \Omega$ ,  $1 \leq j \leq t$ .*

- (a) If  $|\Omega| > s^t - s^{t-1}$  then each family  $F_j$  has a transversal, and  $s^t - s^{t-1}$  is best possible.  
(b) If  $|\Omega| > s^t - s^{t-1}$  then a common transversal of  $F_1, F_2, \dots, F_t$  exists, and  $s^t - s^{t-1}$  is best possible.

**Theorem 2.** Let  $\mathcal{F}$  and  $\Omega$  be as in the first paragraph of this paper.

- (a) If  $|\Omega| > (s+1)^t - (s+1)^{t-1} - 1$  then each family  $F_j$  has a transversal, and  $(s+1)^t - (s+1)^{t-1} - 1$  is best possible.  
(b) If  $|\Omega| > (s+1)^t - s^{t-1} - 1$  then a common transversal of  $F_1, F_2, \dots, F_t$  exists, and  $(s+1)^t - s^{t-1} - 1$  is best possible.

## 2 Proofs

Let us show first of all that the bounds given in Theorems 1 and 2 are best possible.

For Theorem 1(a) and Theorem 1(b) let

$$\Omega = \{(a_1, a_2, \dots, a_t) : 1 \leq a_j \leq s, 1 \leq j \leq t-1, 1 \leq a_t \leq s-1\}.$$

For all  $j, i$ ,  $1 \leq j \leq t$ ,  $1 \leq i \leq s$ , let  $F_j(i) = \{\omega \in \Omega : \text{the } j\text{th coordinate of } \omega \text{ equals } i\}$ . Note that  $F_t(s) = \emptyset$ , so that  $F_t$  has no transversal. It is easy to see that  $|\Omega| = s^t - s^{t-1}$ , each  $F_j$  covers  $\Omega$ ,  $1 \leq j \leq t$ , and  $\mathcal{F} = (F_1, F_2, \dots, F_t)$  separates points.

For Theorem 2(a), we let

$$\Omega = \{(a_1, a_2, \dots, a_t) : 0 \leq a_j \leq s, 1 \leq j \leq t-1, 0 \leq a_t \leq s-1\} \setminus \{(0, 0, \dots, 0)\}.$$

For all  $j, i$ ,  $1 \leq j \leq t$ ,  $1 \leq i \leq s$ , let

$$F_j(i) = \{\omega \in \Omega : \text{the } j\text{th coordinate of } \omega \text{ equals } i\}.$$

Again  $F_t(s) = \emptyset$  so  $F_t$  has no transversal, and it is easy to see that  $|\Omega| = (s+1)^t - (s+1)^{t-1} - 1$ ,  $\Omega = \bigcup \mathcal{F}$ , and  $\mathcal{F} = (F_1, F_2, \dots, F_t)$  separates points.

For Theorem 2(b), let  $\Omega$  be the set of all  $t$ -tuples  $(a_1, a_2, \dots, a_t)$ ,  $0 \leq a_j \leq s$ ,  $1 \leq j \leq t$ , excluding the set  $(\{(a_1, a_2, \dots, a_t) : 1 \leq a_j \leq s, 1 \leq j \leq t-1, a_t = s\} \cup \{(0, 0, \dots, 0)\})$ . For all  $j, i$ ,  $1 \leq j \leq t$ ,  $1 \leq i \leq s$ , let

$$F_j(i) = \{\omega \in \Omega : \text{the } j\text{th coordinate of } \omega \text{ equals } i\}.$$

Then any element  $\omega$  of  $F_t(s)$  must have its  $j_0$ th coordinate equal to 0 for some  $j_0 \neq t$ , and hence  $\omega$  cannot represent any set in the family  $F_{j_0}$ , therefore  $\omega$  cannot belong to any common transversal of  $F_1, F_2, \dots, F_t$ . Therefore no common transversal exists. Again it is easy to see that  $|\Omega| = (s+1)^t - s^{t-1} - 1$ ,  $\Omega = \bigcup \mathcal{F}$ , and  $\mathcal{F} = (F_1, F_2, \dots, F_t)$  separates points.

Throughout the remaining proofs, the following notation will be used. It is therefore fixed once and for all. For  $t \geq 2$ , let  $X$  be the set of all  $(t-1)$ -tuples  $(a_1, a_2, \dots, a_{t-1})$ , where each  $a_j$ ,  $1 \leq j \leq t-1$  satisfies  $1 \leq a_j \leq s$ . Note that  $|X| = s^{t-1}$ . For each  $x = (a_1, a_2, \dots, a_{t-1}) \in X$ , we denote by  $f(x)$  the set  $\bigcap \{F_j(a_j) : 1 \leq j \leq t-1\}$ . Then since  $\mathcal{F}$  distinguishes points and each  $F_j$  covers  $\Omega$  we have  $|f(x) \cap F_t(i)| \leq 1$  for all  $x \in X$  and all  $i$ ,  $1 \leq i \leq s$ , and  $\Omega = \bigcup \{f(x) : x \in X\}$ .

*Proof of Theorem 1(a).* The case  $t = 1$  follows from the various definitions, so we assume  $t \geq 2$ , and without loss of generality we restrict our attention to  $F_t$ . We shall make use of the classical result of P. Hall [1] according to which  $F_t$  has a transversal if and only if  $|\bigcup\{F_t(i) : i \in I\}| \geq |I|$  for all  $I \subset \{1, 2, \dots, s\}$ . Suppose that  $F_t$  does not have a transversal, and that  $|F_t(i_1) \cup F_t(i_2) \cup \dots \cup F_t(i_{k-1})| = F_t(i_k) = \emptyset$  if  $k = 1$ ), hence  $\Omega = \bigcup\{F_t(i) : 1 \leq i \leq s, i \neq i_k\}$ . Then

$$\begin{aligned} |\Omega| &= \left| \left( \bigcup\{f(x) : x \in X\} \right) \cap \left( \bigcup\{F_t(i) : 1 \leq i \leq s, i \neq i_k\} \right) \right| \\ &= \left| \bigcup\{f(x) \cap F_t(i) : x \in X, 1 \leq i \leq s, i \neq i_k\} \right| \\ &\leq |\{(x, i) : x \in X, 1 \leq i \leq s, i \neq i_k\}| \\ &= s^{t-1}(s-1) = s^t - s^{t-1}, \end{aligned}$$

contrary to the hypothesis of the Theorem. Hence  $F_t$  and similarly each  $F_j$ , has a transversal.  $\square$

*Proof of Theorem 1(b).* Since the family  $F_t = (F_t(1), F_t(2), \dots, F_t(s))$  has a transversal (by Theorem 1(a)) and covers  $\Omega$ , we can replace  $F_t$  by a *partition*  $G = (G(1), G(2), \dots, G(s))$  such that  $G(i) \subset F_t(i)$  for all  $i$ ,  $1 \leq i \leq s$ . (The partition  $G$  can be constructed as follows. Let  $\{\omega_1, \omega_2, \dots, \omega_s\}$  be a transversal of  $F_t$ , where  $\omega_i \in F_t(i)$ ,  $1 \leq i \leq s$ . Let

$$\begin{aligned} G(1) &= F_t(1) \setminus \{\omega_2, \dots, \omega_s\}, \\ G(2) &= F_t(2) \setminus (G(1) \cup \{\omega_3, \dots, \omega_s\}), \\ G(3) &= F_t(3) \setminus (G(1) \cup G(2) \cup \{\omega_4, \dots, \omega_s\}), \\ &\vdots \\ G(s) &= F_t(s) \setminus (G(1) \cup G(2) \cup \dots \cup G(s-1)). \end{aligned}$$

Then  $\mathcal{F}' = (F_1, F_2, \dots, F_{t-1}, G)$  distinguishes points, hence  $|f(x) \cap G(i)| \leq 1$  for all  $x \in X$  and all  $i$ ,  $1 \leq i \leq s$ , and any common transversal of  $F_1, F_2, \dots, F_{t-1}, G$  is a common transversal of  $F_1, F_2, \dots, F_t$ .

At this point we could in fact replace *every*  $F_j$  by a partition (since we know by Theorem 1(a) that every  $F_j$  has a transversal); however, it is not necessary, and so we do not.

We now demonstrate the existence of a common transversal of  $F_1, F_2, \dots, F_{t-1}, G$ .

To this end we define a *diagonal* of  $X$  to be a subset  $D$  of  $X$  such that  $|D| = s$  and for each  $j$ ,  $1 \leq j \leq t-1$ , the  $j$ th coordinates of the elements of  $D$  run through  $\{1, 2, \dots, s\}$  in some order. Note that whenever  $D = \{x_1, x_2, \dots, x_s\}$  is a diagonal,  $\omega_k \in f(x_k)$ ,  $1 \leq k \leq s$ , and  $\omega_1, \omega_2, \dots, \omega_s$  are all distinct, then  $\{\omega_1, \omega_2, \dots, \omega_s\}$  is a common transversal of  $F_1, F_2, \dots, F_{t-1}$ .

Now we let  $\mathcal{D}$  be some fixed collection of *mutually disjoint* diagonals of  $X$  whose union is  $X$ ,  $X = \bigcup \mathcal{D}$ . (The existence of  $\mathcal{D}$  can be shown by induction on  $t$ .)

Since  $|X| = s^{t-1}$  and every diagonal has  $s$  elements, we have  $|\mathcal{D}| = s^{t-2}$ . For any diagonal  $D$ , let  $f(D) = \bigcup\{f(x) : x \in D\}$ . Then

$$\Omega = \bigcup\{f(x) : x \in X\} = \bigcup\{f(D) : D \in \mathcal{D}\}.$$

Now

$$s^t - s^{t-1} < |\Omega| \leq \sum_{D \in \mathcal{D}} |f(D)| \leq |\mathcal{D}| \max\{|f(D)| : D \in \mathcal{D}\},$$

hence  $s^2 - s < \max\{|f(D)| : D \in \mathcal{D}\}$ .

We now fix a diagonal  $D$  with  $s^2 - s < |f(D)|$ . Let  $D = \{x_1, x_2, \dots, x_s\}$ , and define, for  $1 \leq i \leq s$ ,  $1 \leq j \leq s$ ,

$$e_{ij} = \begin{cases} 1 & \text{if } f(x_i) \cap G(j) \neq \emptyset \\ 0 & \text{otherwise.} \end{cases}$$

Then since  $|f(x_i) \cap G(j)| \leq 1$  for all  $i, j$ , and  $G$  is a partition of  $\Omega$ , the  $s \times s$  0-1 matrix  $(e_{ij})$  contains exactly  $|f(D)|$ , and hence more than  $s^2 - s$ , 1's. Therefore there exist (as can be shown by induction on  $s$ ) indices  $i_1 j_1, i_2 j_2, \dots, i_s j_s$  such that  $e_{i_1 j_1} = e_{i_2 j_2} = \dots = e_{i_s j_s} = 1$  and  $\{i_1, i_2, \dots, i_s\} = \{j_1, j_2, \dots, j_s\} = \{1, 2, \dots, s\}$ .

Now let  $\{\omega_k\} = f(x_{i_k}) \cap G(j_k)$ ,  $1 \leq k \leq s$ . Then since  $G$  is a partition,  $\omega_1, \omega_2, \dots, \omega_s$  are all distinct, and hence  $\{\omega_1, \omega_2, \dots, \omega_s\}$  is not only a transversal of  $G$  but is also (since  $\{x_1, x_2, \dots, x_s\}$  is a diagonal) a common transversal of  $F_1, F_2, \dots, F_{t-1}$ . Therefore  $\{\omega_1, \omega_2, \dots, \omega_s\}$  is a common transversal of  $F_1, F_2, \dots, F_{t-1}, G$ , and hence of  $F_1, F_2, \dots, F_t$ .

This completes the proof of Theorem 1(b).  $\square$

*Proof of Theorem 2(a).* Recall that for each  $j$ ,  $1 \leq j \leq t$ ,  $F_j(0)$  denotes the complement in  $\Omega$  of the union of the family  $F_j$ , that is,  $F_j(0) = \Omega \setminus \bigcup\{F_j(i) : 1 \leq i \leq s\}$ . If now for each  $j$ ,  $1 \leq j \leq t$ , we let

$$G_j = (F_j(0), F_j(1), \dots, F_j(s)),$$

and let  $\mathcal{G} = (G_1, G_2, \dots, G_t)$ , then  $\mathcal{G}$  separates points and each family  $G_j$  covers  $\Omega$ , therefore we may proceed exactly as in the proof of Theorem 1(a), where now we have  $t$  families with  $s + 1$  sets in each family. Furthermore, since we know that  $\bigcap\{F_j(0) : 1 \leq j \leq t\} = \emptyset$  (this is so because  $\bigcup\{F_j(i) : 1 \leq j \leq t, 1 \leq i \leq s\} = \Omega$ ), the last inequality in the proof of Theorem 1(a) can be replaced by

$$\begin{aligned} |\Omega| &\leq |\{(x, i) : x \in X, 0 \leq i \leq s, i \neq i_k, (i, x) \neq (0, (0, 0, \dots, 0))\}| \\ &= (s+1)^t - (s+1)^{t-1} - 1. \end{aligned}$$

This proves Theorem 2(a).  $\square$

*Proof of Theorem 2(b).* For each  $j$ ,  $1 \leq j \leq t$ , let  $\Omega_j = \bigcup F_j$ , and let

$$\Omega_0 = \bigcap\{\Omega_j : 1 \leq j \leq t\}.$$

For each  $j$ ,  $1 \leq j \leq t$ ,  $1 \leq i \leq s$ , let  $G_j(i) = F_j(i) \cap \Omega_0$ ,  $G_j = (G_j(1), G_j(2), \dots, G_j(s))$ , and

$$\mathcal{G} = (G_1, G_2, \dots, G_t).$$

Then for each  $j$ ,  $1 \leq j \leq t$ ,  $\Omega_0 = \bigcup G_j$ . Also, since  $G_j(i) \subset F_j(i)$ , for all  $j, i$ , the family  $\mathcal{G}$  separates points. Thus, by Theorem 1(b), it suffices now to show that  $|\Omega_0| > s^t - s^{t-1}$ , since any common transversal of

$G_1, G_2, \dots, G_t$  is also a common transversal of  $F_1, F_2, \dots, F_t$ . Since  $\mathcal{F}$  separates points, the cardinal of  $\Omega \setminus \Omega_0$  cannot exceed the cardinal of the set of all those  $t$ -tuples  $(a_1, a_2, \dots, a_t)$ ,  $0 \leq a_j \leq s$ , having at least one coordinate equal to 0 (excluding  $(0, 0, \dots, 0)$ ). That is,  $|\Omega \setminus \Omega_0| \leq (s+1)^t - s^t - 1$ . Hence

$$\begin{aligned} (s+1)^t - s^{t-1} - 1 &< |\Omega| = |\Omega_0| + |\Omega \setminus \Omega_0| \\ &\leq |\Omega_0| + (s+1)^t - s^t - 1, \end{aligned}$$

and therefore

$$|\Omega_0| > s^t - s^{t-1}.$$

This completes the proof of Theorem 2(b). □

## References

- [1] Philip Hall, *On representatives of subsets*, J. London Math. Soc. **10** (1935), 26–30.
- [2] J.Q. Longyear, *Common transversals in partitioning families*, Discrete Math. **17** (1977), 327–329.